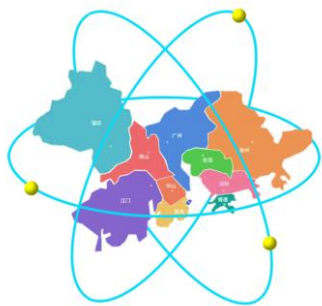


# 大亚湾实验最新结果



凌家杰（中山大学）

第一届“粤港澳”核物理论坛  
珠海, 2022/07/03





# The 3-neutrino Mixing

B. Pontecorvo, Z. Maki, M. Nakagawa, S. Sakata, E. Majorana

$$|\nu_\alpha\rangle = \sum_{i=1}^3 U_{\alpha i}^* |\nu_i\rangle$$



$$U = \begin{bmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{bmatrix} \begin{bmatrix} c_{13} & 0 & s_{13} e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13} e^{i\delta} & 0 & c_{13} \end{bmatrix} \begin{bmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 \\ 0 & e^{i\frac{\alpha_{21}}{2}} & 0 \\ 0 & 0 & e^{i\frac{\alpha_{31}}{2}} \end{bmatrix}$$

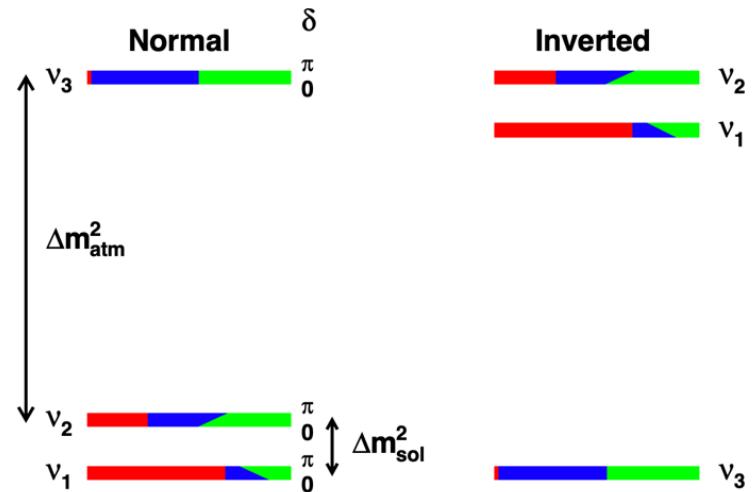
$$c_{ij} = \cos\theta_{ij} \quad s_{ij} = \sin\theta_{ij}$$

Three mixing angles:  $\theta_{23}, \theta_{13}, \theta_{12}$

$$c_{12}^2 \equiv \cos^2\theta_{12} = \frac{|U_{e1}|^2}{1-|U_{e3}|^2} \quad s_{12}^2 \equiv \sin^2\theta_{12} = \frac{|U_{e2}|^2}{1-|U_{e3}|^2}$$

$$s_{13}^2 \equiv \sin^2\theta_{13} = |U_{e3}|^2$$

$$s_{23}^2 \equiv \sin^2\theta_{23} = \frac{|U_{\tau 3}|^2}{1-|U_{e3}|^2} \quad c_{23}^2 \equiv \cos^2\theta_{23} = \frac{|U_{\tau 3}|^2}{1-|U_{e3}|^2}$$





# Reactor Antineutrino Oscillation

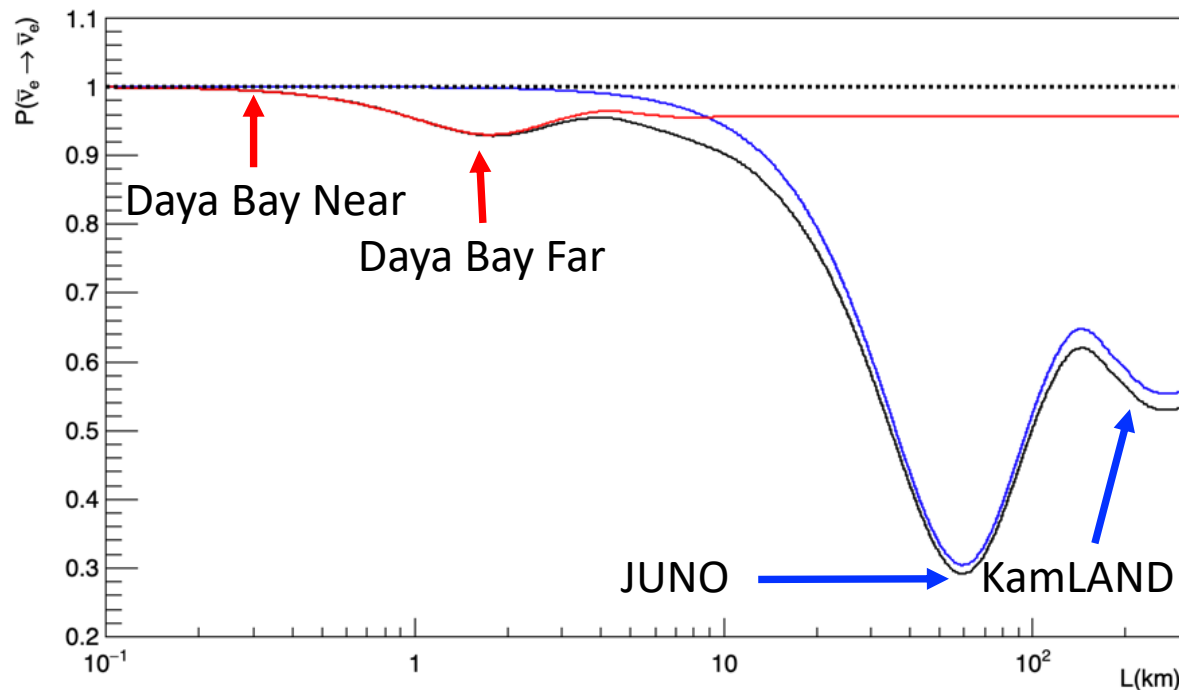
$$P_{\alpha\beta} = |\langle \nu_\beta | \nu_\alpha(t) \rangle|^2 = \delta_{\alpha\beta} - 4 \sum_{i<j}^3 \text{Re}[U_{\alpha i} U_{\beta i}^* U_{\alpha j}^* U_{\beta j}] \sin^2 \Delta_{ij} + 2 \sum_{i<j}^3 \text{Im}[U_{\alpha i} U_{\beta i}^* U_{\alpha j}^* U_{\beta j}] \sin 2\Delta_{ij}$$

$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e) = 1 - \sin^2 2\theta_{13} (\cos^2 \theta_{12} \sin^2 \Delta_{31} + \sin^2 \theta_{12} \sin^2 \Delta_{32}) - \cos^4 \theta_{13} \sin^2 2\theta_{12} \sin^2 \Delta_{21}$$

$$\approx 1 - \boxed{\sin^2 2\theta_{13} \sin^2 \Delta_{ee}} - \boxed{\cos^4 \theta_{13} \sin^2 2\theta_{12} \sin^2 \Delta_{21}}$$

$$\Delta_{ij} = \Delta m_{ij}^2 \frac{L}{4E}$$

Immune to CP violation and matter effects



Key for a precise measurement:

✓ **Baseline Optimization**

$$L(\text{m}) \sim \frac{\pi \cdot E (\text{MeV})}{2.54 \cdot \Delta m^2 (\text{eV}^2)}$$

✓ **Large statistics**

Large  $\bar{\nu}_e$  flux

Massive target mass

✓ **Background control**

Large overburden

Detector shielding

✓ **Systematics control**

Relative Far/Near measurement

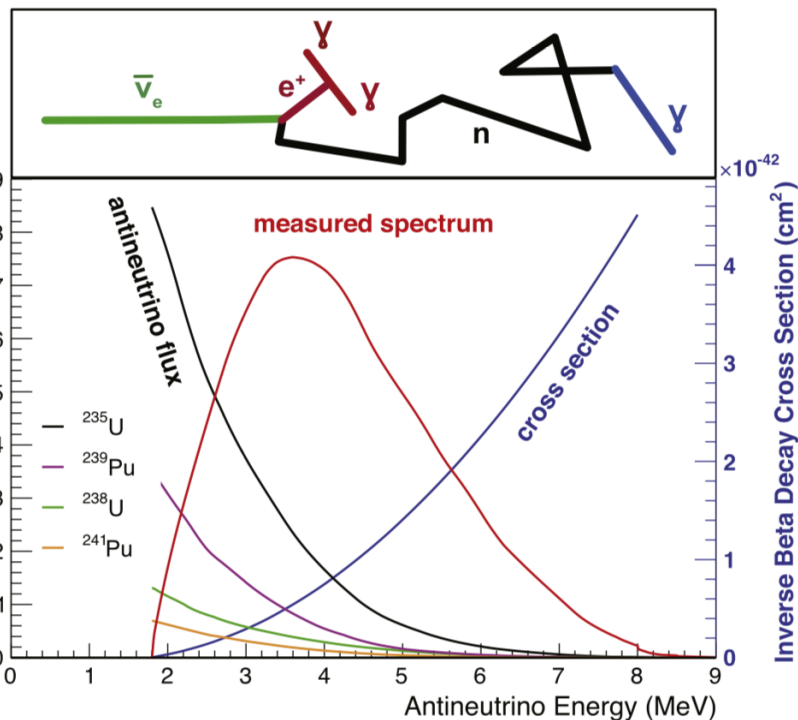
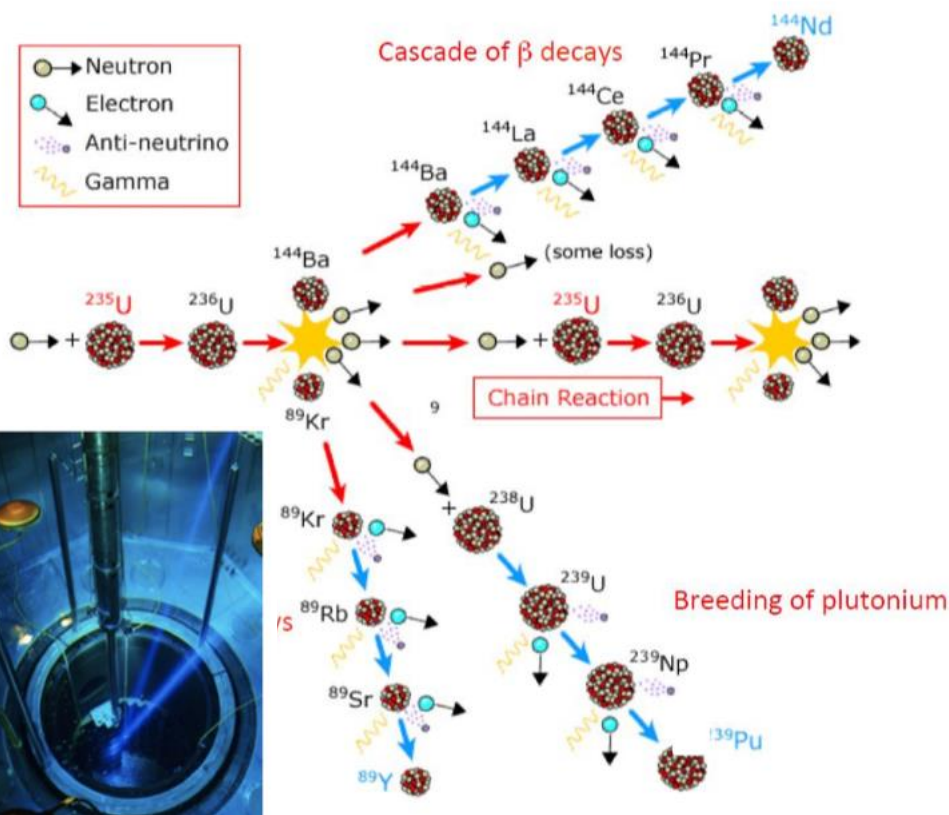




# Reactor $\bar{\nu}_e$ Production and Detection

Source: Pure  $\bar{\nu}_e$  from cascade of beta decays

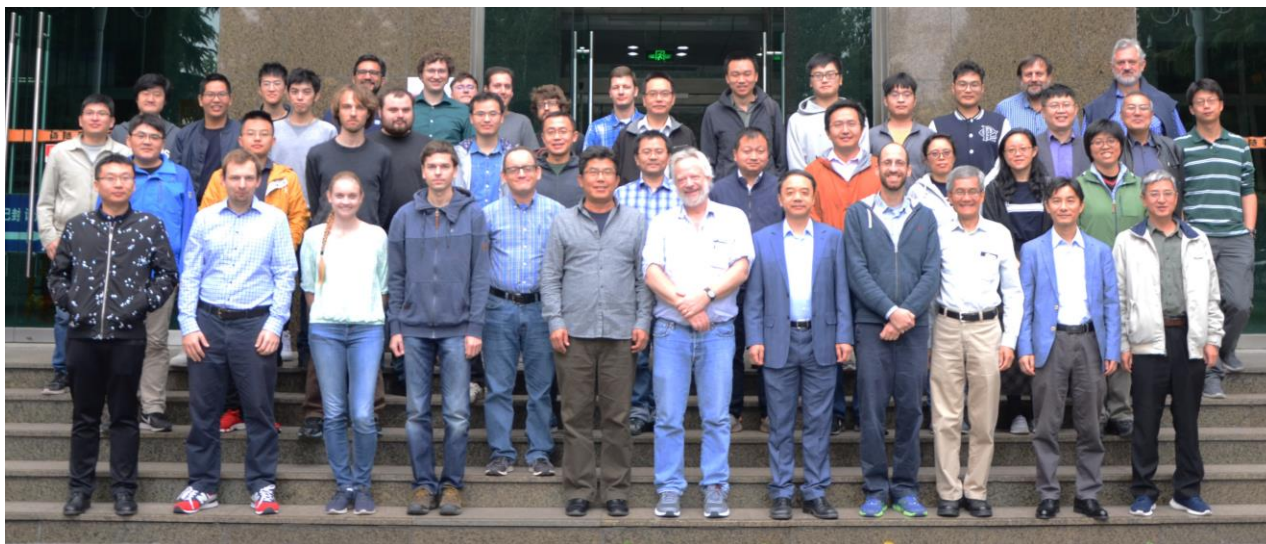
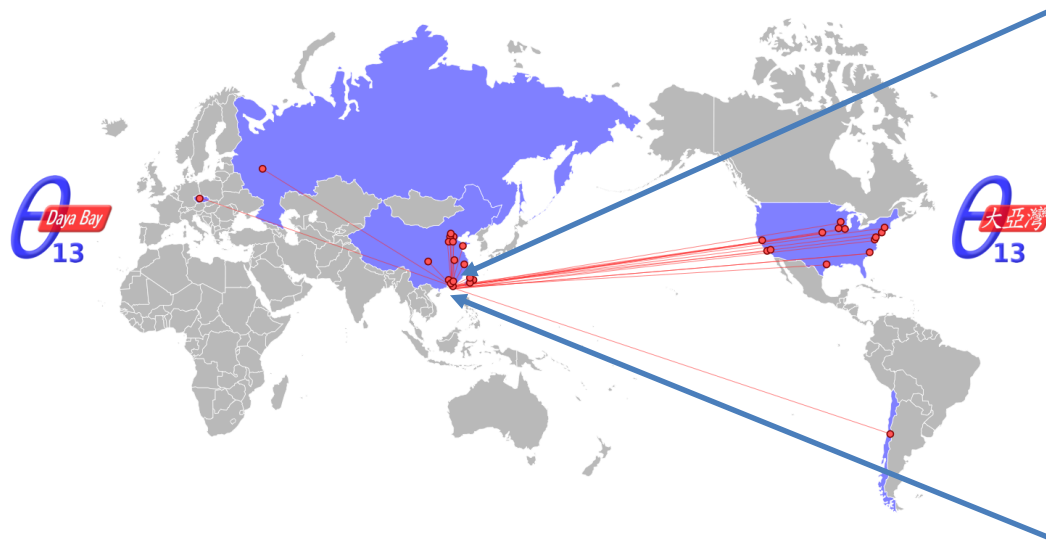
- $\sim 200$  MeV / fission
- $\sim 2 \times 10^{20} \bar{\nu}_e / \text{GW}_{\text{th}} / \text{Sec}$  (1/5 above IBD threshold)



- Inverse Beta decay (IBD)**  $\bar{\nu}_e + p \rightarrow n + e^+$
- Coincidence signals to suppress background**
- Prompt:  $E_{\text{prompt}} \approx E_{\nu} - 0.8 \text{ MeV}$
  - Delayed: nH (2.2 MeV) or nGd ( $\sim 8$  MeV)



# The Daya Bay Collaboration



## Daya Bay collaboration:

- ~200 collaborators
- ~40 institutions

## Members from SYSU:

- Jiajie Ling
- Wei Wang
- Yuenkeung Hor
- Fengpeng An
- Zhibing Li
- Honghao Zhang
- Yu Chen
- Yumei Zhang

凌家杰（中山大学）

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# Daya Bay Layout

## Far Hall

1540 m from Ling Ao I  
1910 m from Daya Bay  
324 m overburden

**EH3**  
(AD-4, 5, 6, 7)

## Ling Ao Near Hall

470 m from Ling Ao I  
558 m from Ling Ao II  
100 m overburden

**Relative Measurement:**  
3 Experimental Halls (EH)  
8 “identical” antineutrino  
detectors (AD)

**EH2**  
(AD-3, 8)

Entrance

Ling Ao II Cores

Ling Ao I Cores

**EH1**  
(AD-1, 2)

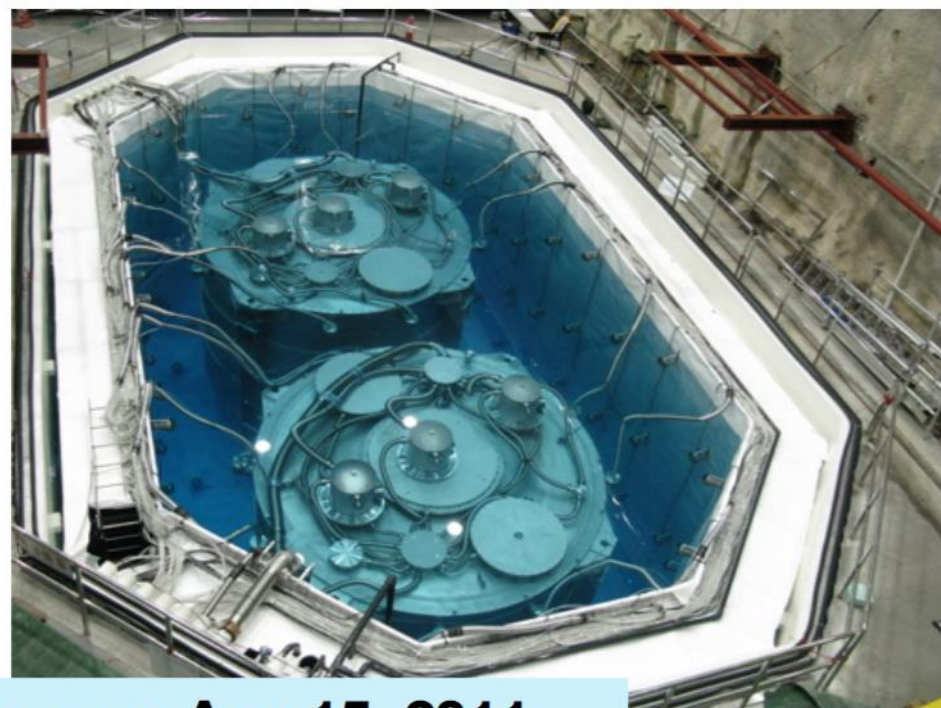
## Daya Bay Near Hall

363 m from Daya Bay  
93 m overburden

Daya Bay Cores

- 17.4 GW<sub>th</sub> power
- 8 operating detectors
- 160 t total target mass





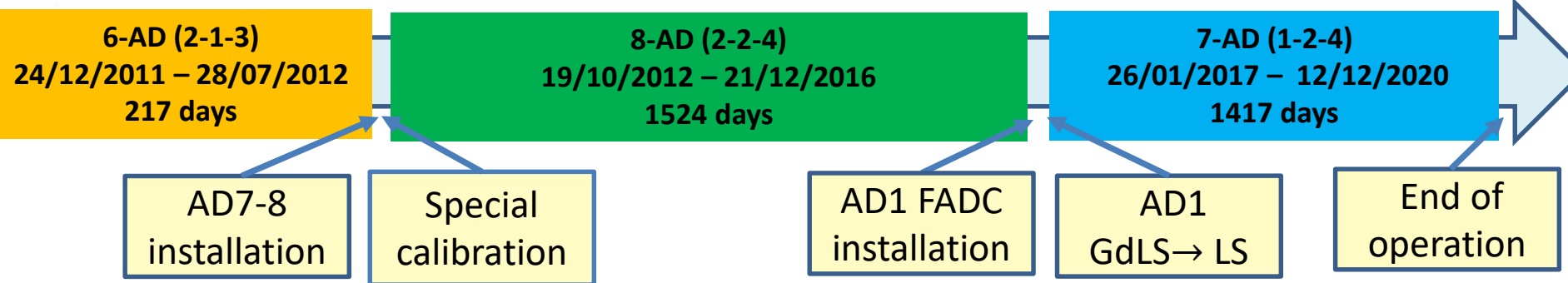
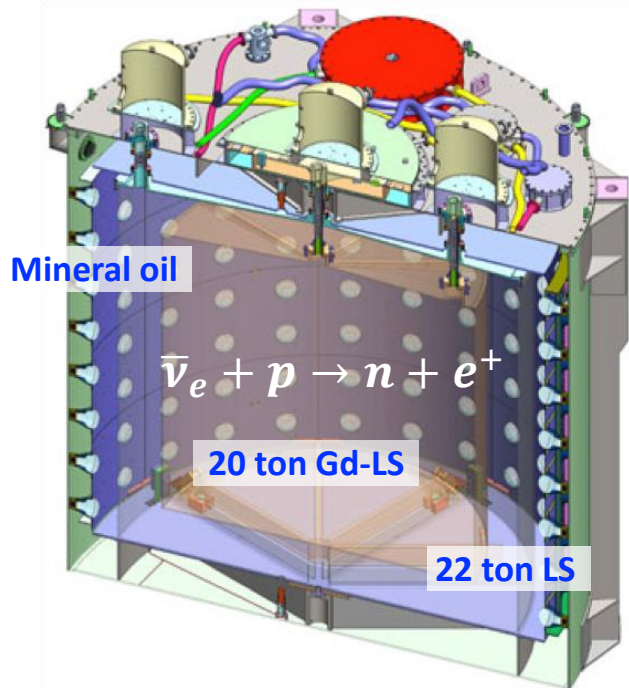
**Hall 1: Data taking began on Aug 15, 2011**







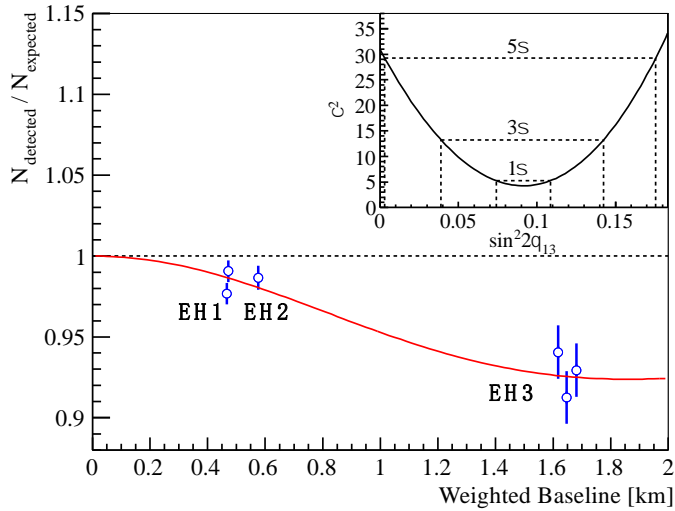
# Antineutrino Detector (AD) System







# First Result in 2012



Daya Bay excluded  $\theta_{13}=0$  with  $5.2\sigma$  with only 55 days after operation

**FREE ACCESS**

### The Discovery of the Higgs Boson

**Boson**  
A. Cho

Exotic particles made headlines again and again in 2012, making it no surprise that the breakthrough of the year is a big physics finding: confirmation of the existence of the Higgs boson. Hypothesized more than 40 years ago, the elusive particle completes the standard model of physics, and is arguably the key to the explanation of how other fundamental particles obtain mass. The only mystery that remains is whether its discovery marks a new dawn for particle physics or the final stretch of a field that has run its course.

[Read more about the Higgs boson from the research teams at CERN.](#)

## 2012 top 10 break-through by Science Runners-Up **FREE WITH REGISTRATION**

This year's runners-up for Breakthrough of the Year underscore feats in engineering, genetics, and other fields that promise to change the course of science.



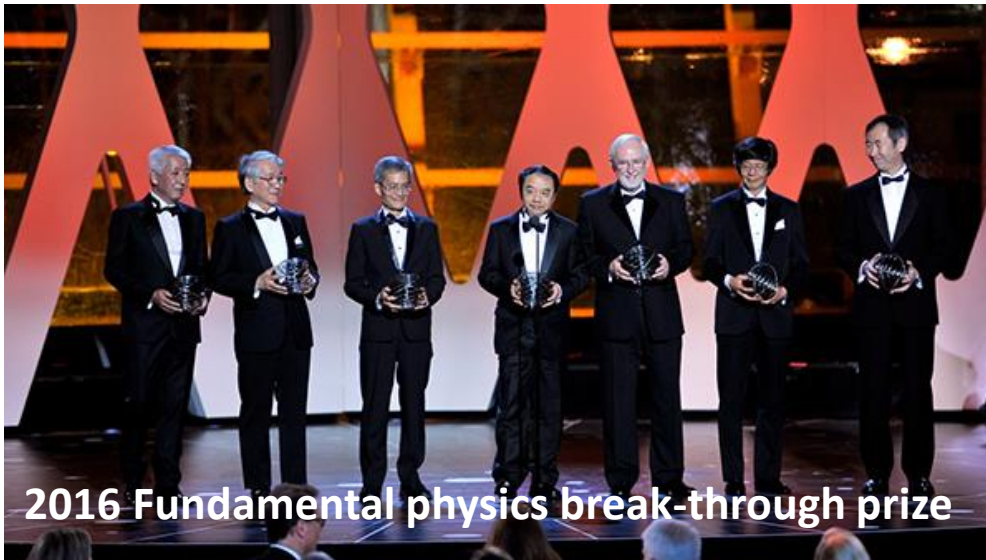
Denisovan Genome



Genome Engineering



Neutrino Mixing Angle



2016 Fundamental physics break-through prize

凌家杰 (中山大学)

第一届粤港澳核物理论坛



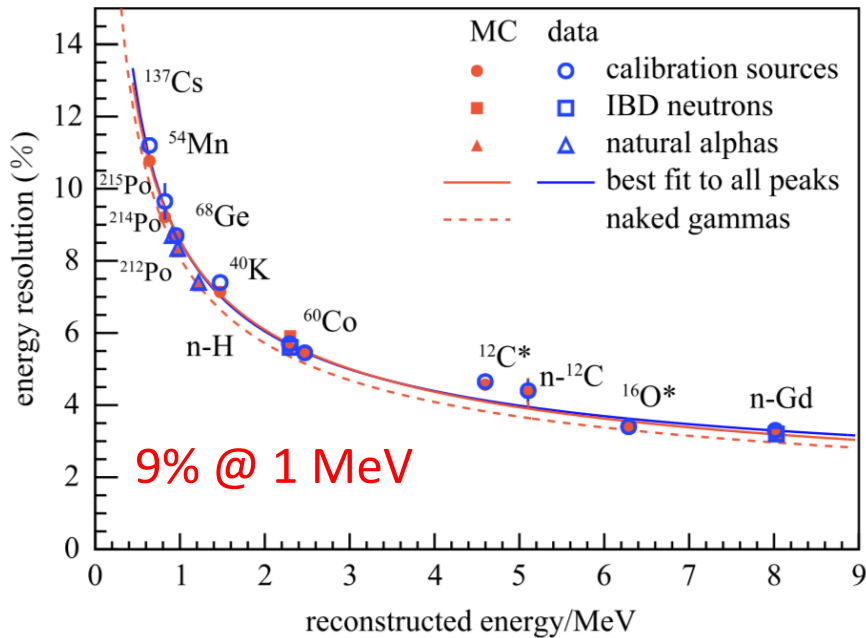
2020年12月12日 大亚湾实验停止运行



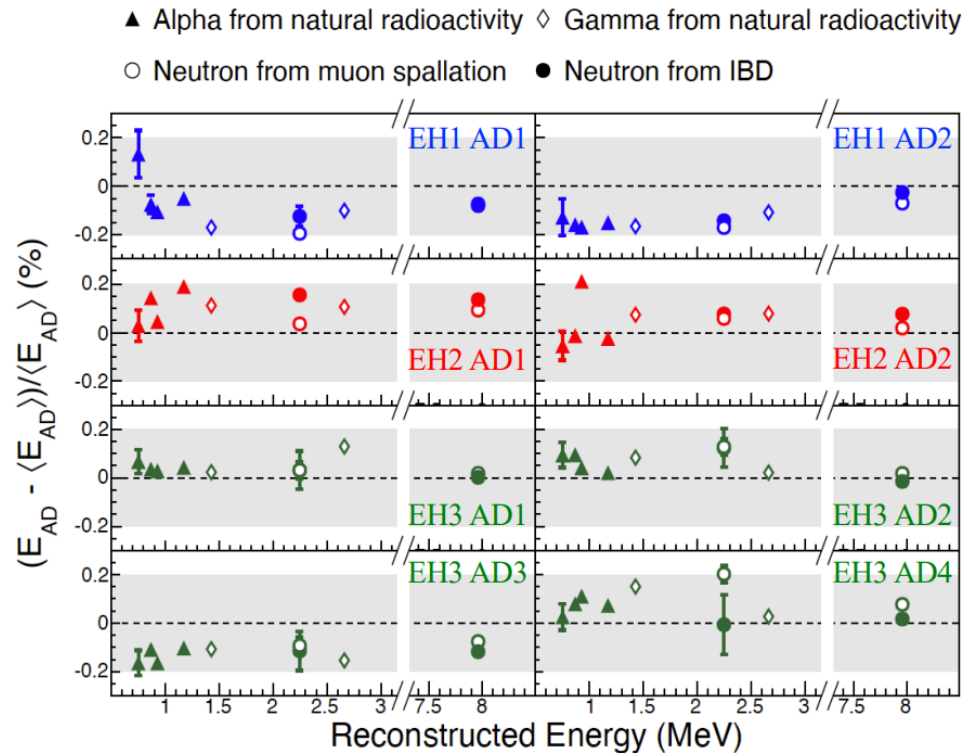


# Detector Energy Response

- Rolling gain calibration using dark noise
- Weekly calibration
  - $^{68}\text{Ge}$ ,  $^{241}\text{Am}^{13}\text{C}$ ,  $^{60}\text{Co}$
  - LED diffuser ball
- Special calibration campaigns
  - $^{137}\text{Cs}$ ,  $^{54}\text{Mn}$ ,  $^{241}\text{Am}^9\text{Be}$ ,  $^{239}\text{Pu}^{13}\text{C}$
- Spallation neutrons and  $^{12}\text{B}$
- Natural radioactivity



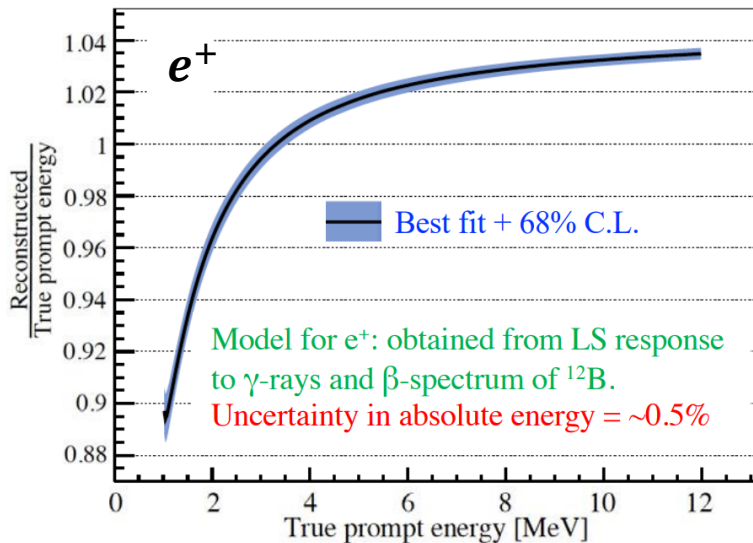
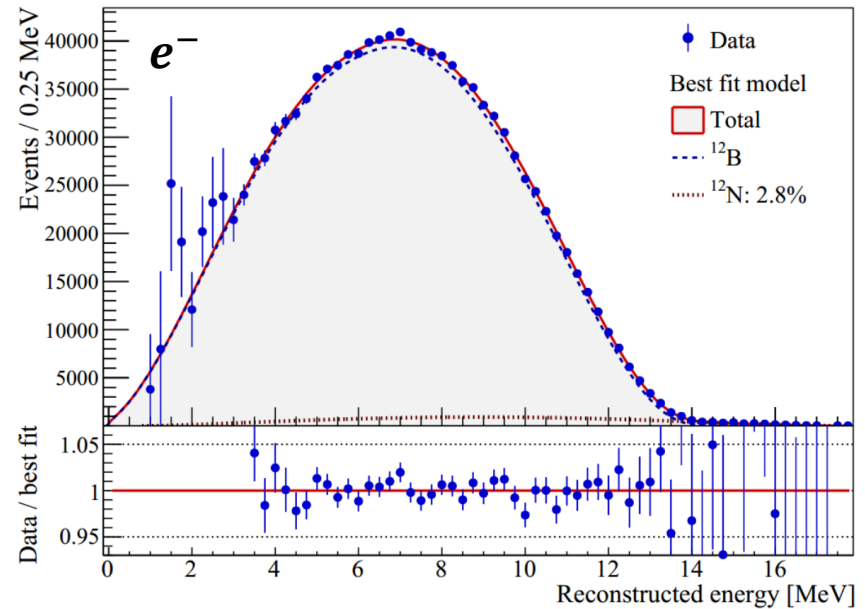
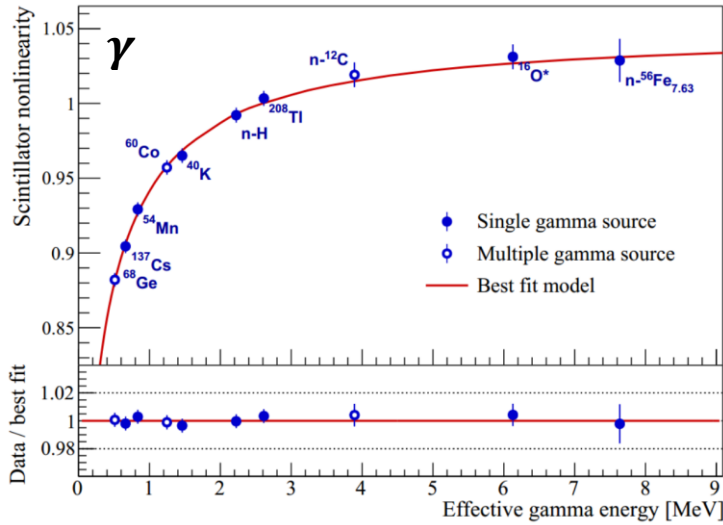
*NIM A750 19 (2014) NIM A797 260 (2015)*



**Relative detector energy scale  $\lesssim 0.2\%$**



# Energy Nonlinearity Calibration



- Two major sources of energy nonlinearity:
  - Scintillator response (Birks + Cherenkov)
  - Readout electronics (FADC correction)
- Energy model for positron is derived from measured gamma and electron responses using simulation.

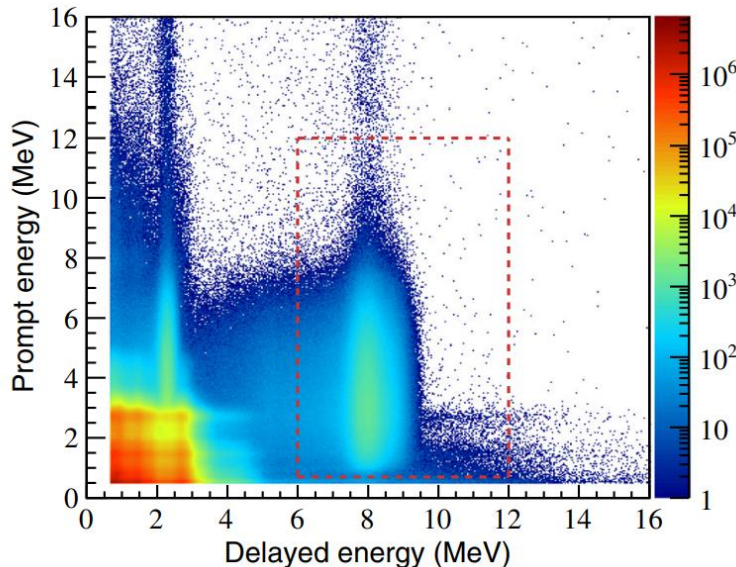
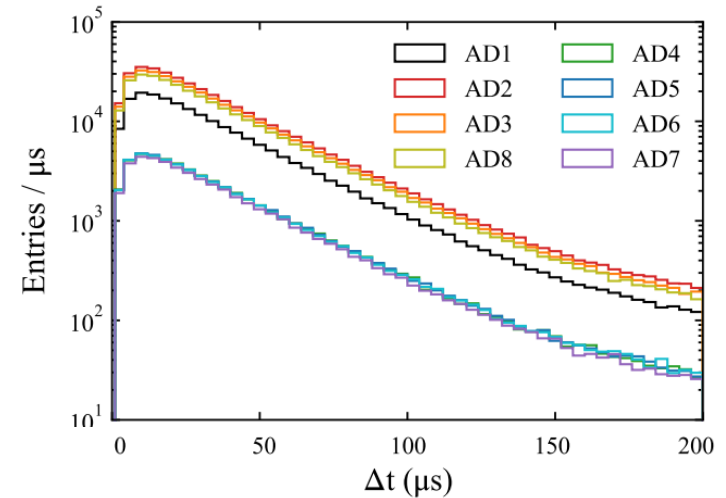
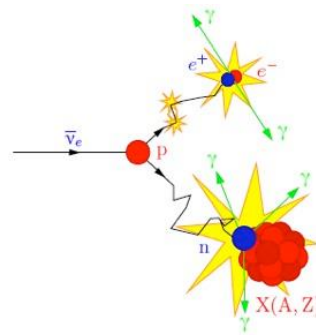
~0.5% absolute energy uncertainty





# IBD Pair Selection

- Remove flashing PMT events
- Veto muon events
- Prompt and delayed energy:
  - $0.7 \text{ MeV} < E_{\text{Prompt}} < 12 \text{ MeV}$
  - $6 \text{ MeV} < E_{\text{Delayed}} < 12 \text{ MeV}$
- Neutron capture time:
  - $1 \mu\text{s} < \Delta t < 200 \mu\text{s}$
- Multiplicity cut: select time-isolated signal pairs

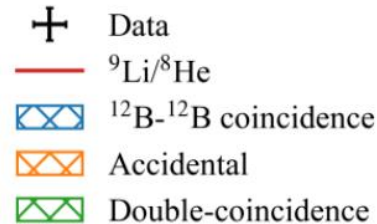
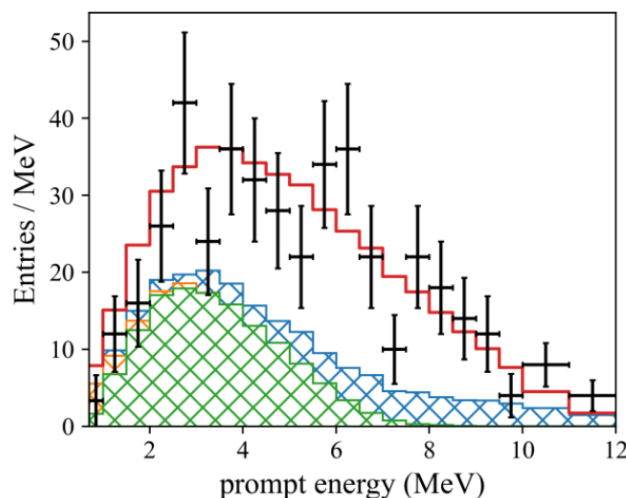
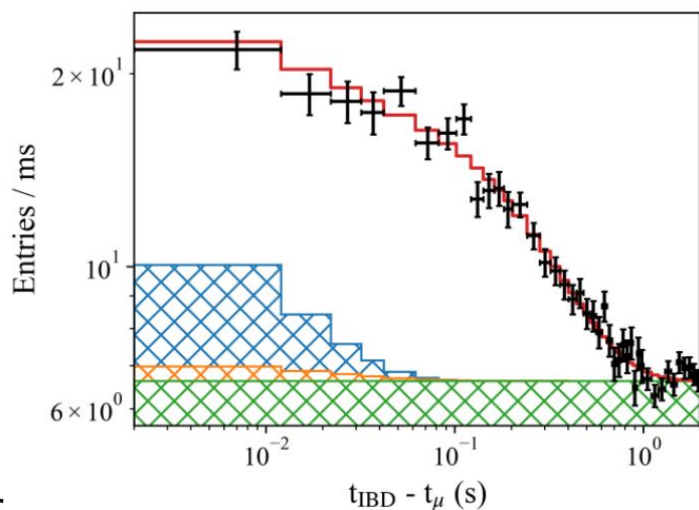
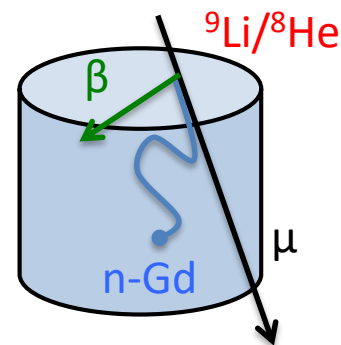


	Efficiency	Correlated	Uncorrelated
Target protons	-	0.92%	0.03%
Flasher cut	99.98%	0.01%	0.01%
Delayed energy cut	92.7%	0.97%	0.08%
Prompt energy cut	99.8%	0.10%	0.01%
Multiplicity cut		0.02%	0.01%
Capture time cut	98.7%	0.12%	0.01%
Gd capture fraction	84.2%	0.95%	0.10%
Spill in	104.9%	1.00%	0.02%
Live time	-	0.002%	0.01%
<b>Combined</b>	<b>80.6%</b>	<b>1.93%</b>	<b>0.13%</b>



# Backgrounds: ${}^9\text{Li}/{}^8\text{He}$

- Cosmogenic isotopes:  ${}^9\text{Li}/{}^8\text{He}$ :
  - $\beta - n$  decay
  - $\tau_{\text{Li}} = 257.2 \text{ ms}$ ,  $\tau_{\text{He}} = 171.7 \text{ ms}$
- A multi-dimensional fit using
  - Time interval after the preceding muon ( $t_{\text{IBD}} - t_{\mu}$ )
  - Prompt Energy ( $E_{\text{Prompt}}$ )
  - Distance between the prompt and delayed signals ( $\Delta R$ )
  - Low energy ( $E_{\text{Vis}} < 2 \text{ GeV}$ ) and high-energy ( $E_{\text{Vis}} > 2 \text{ GeV}$ ) muon samples from all three halls simultaneously



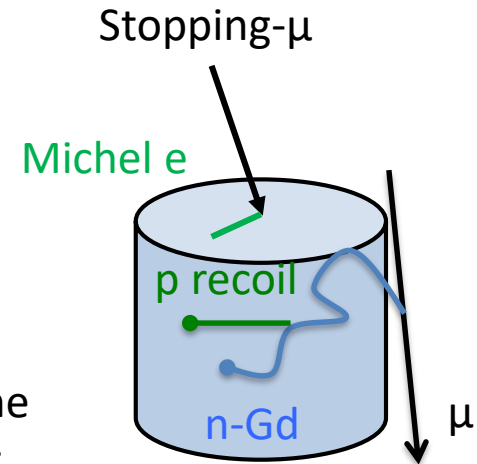
S



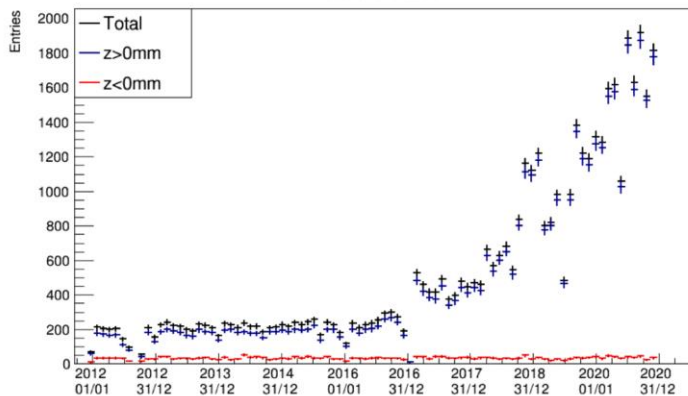


# Backgrounds: Fast Neutron and Muon-X

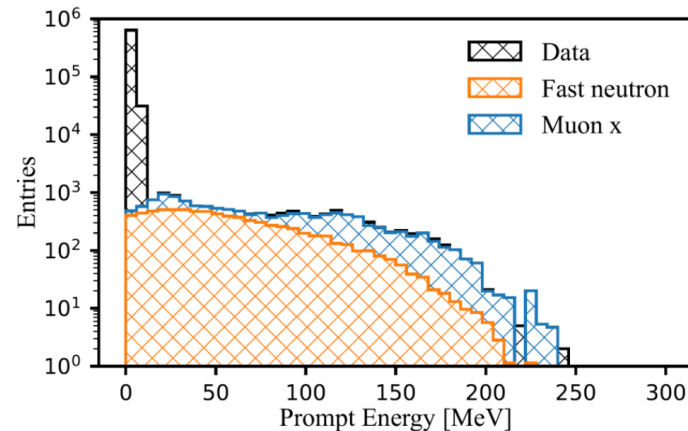
- Fast spallation neutrons generated outside of the water pool
- Gradual failure of PMTs or high-voltage channels in the water veto system
  - Reduction of muon detection efficiency in water pool
  - Muon decays and spallation (muon-x) on top of ADs
- Lower the hit multiplicity of PMTs (nHit) in IWS from 12 to 6 to tag muon-x backgrounds
  - Reject more than 80% muon decays with < 0.1% loss of livetime
  - Extend cut on  $E_{Prompt}$  from 12 MeV to 250 MeV spectrum for fast neutron and muon-x



EH1 AD2



IWS nHit > 6

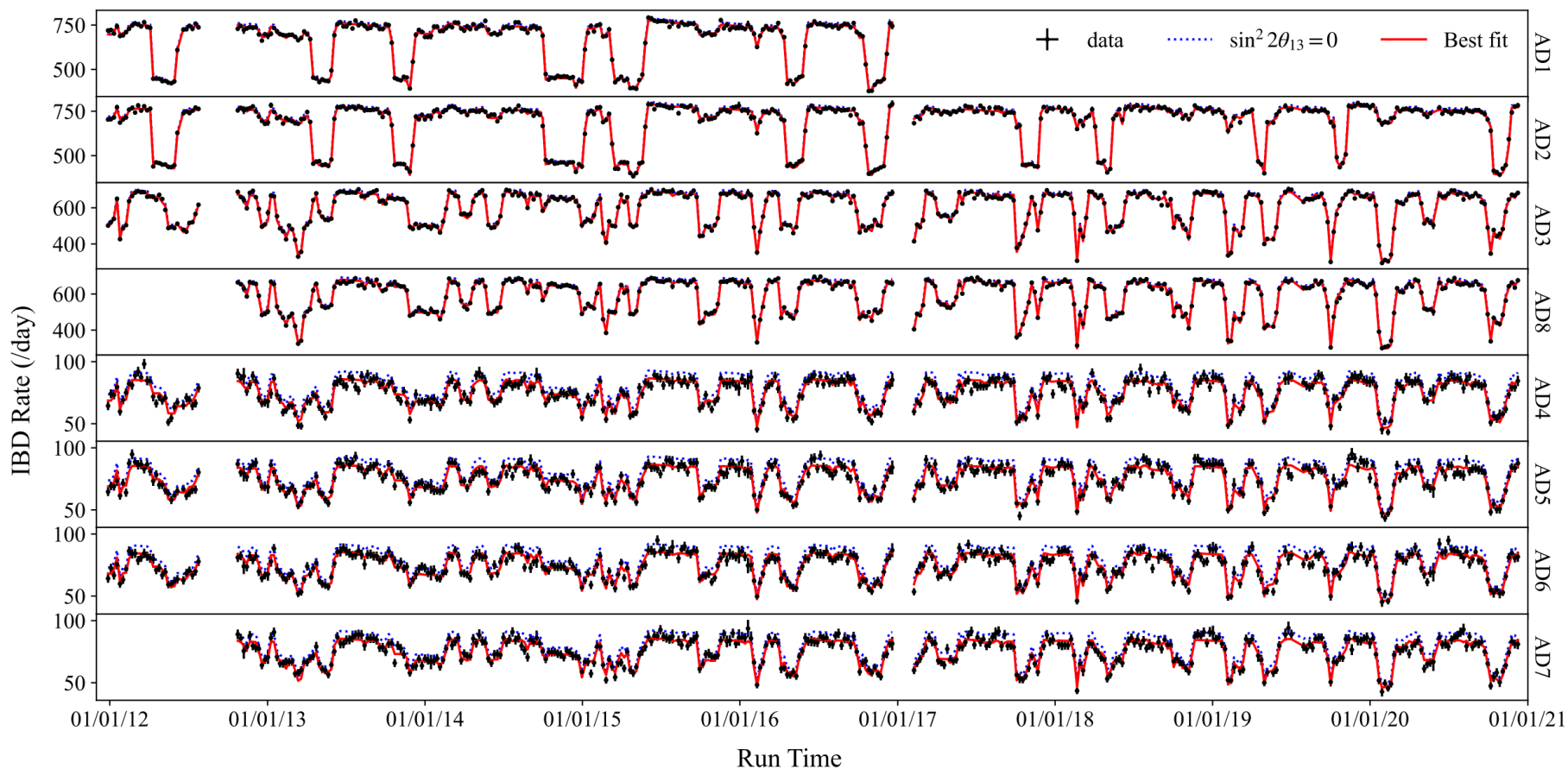




# 5.5 Million nGd IBD Candidates

- 24/12/2011 – 12/12/2020 (3158 days)
  - ~2700 days of good data
- World largest IBD data sample
  - 5.5 M IBDs

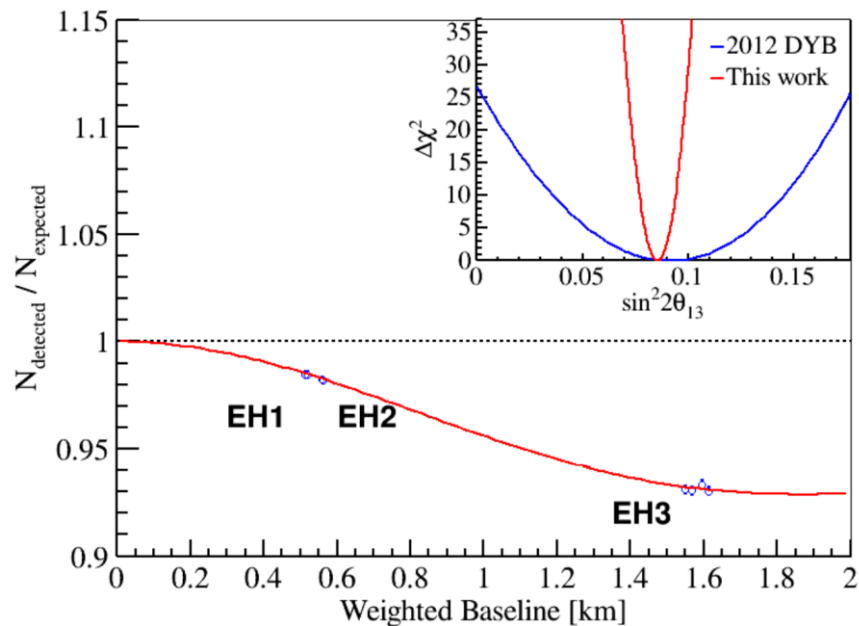
Site	EH1 (Near)	EH2 (Near)	EH3 (Far)
IBD candidates	2,236,810	2,544,894	764,414





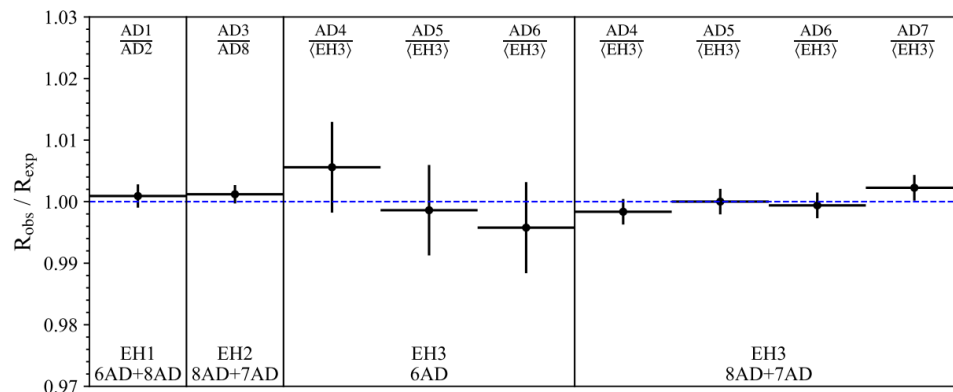
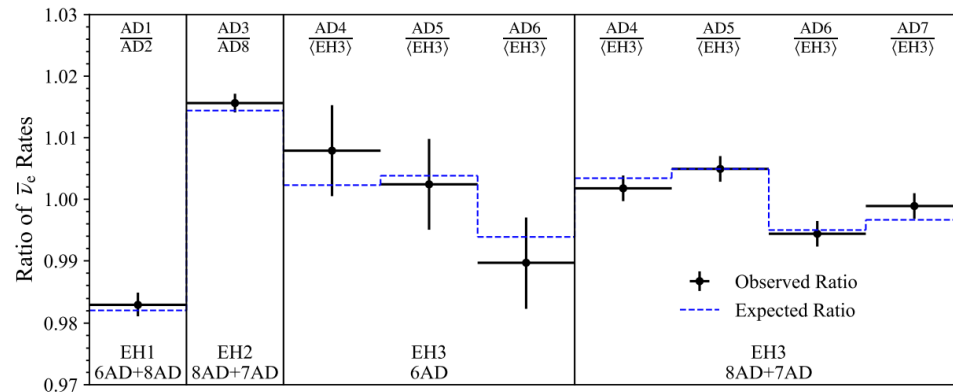


# Rate-only Oscillation Results



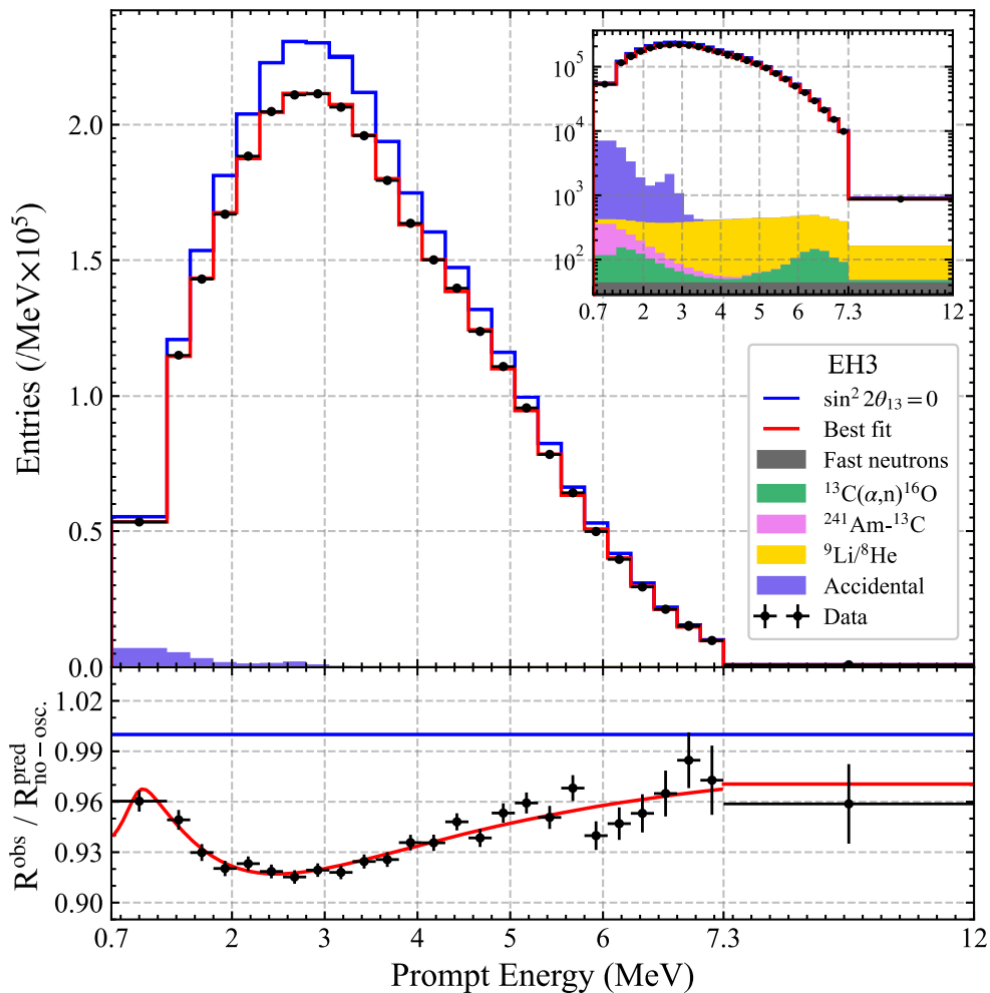
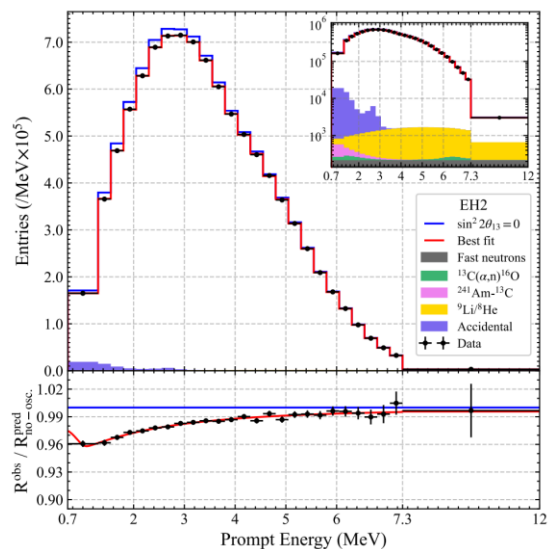
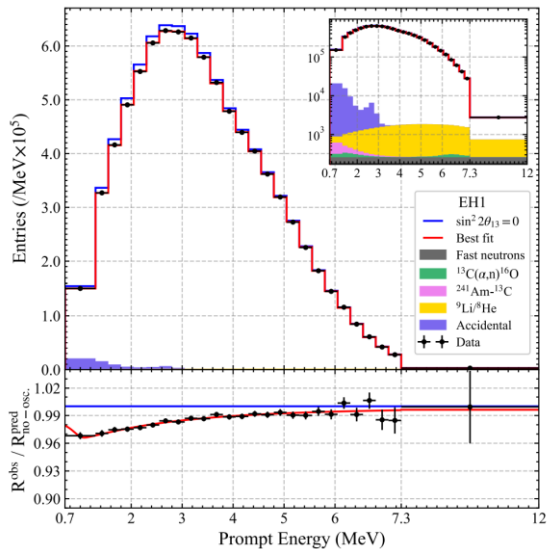
$$\sin^2 2\theta_{13} = 0.0854 \pm 0.0027$$

$$\chi^2 / \text{NDF} = 17.3 / 19$$





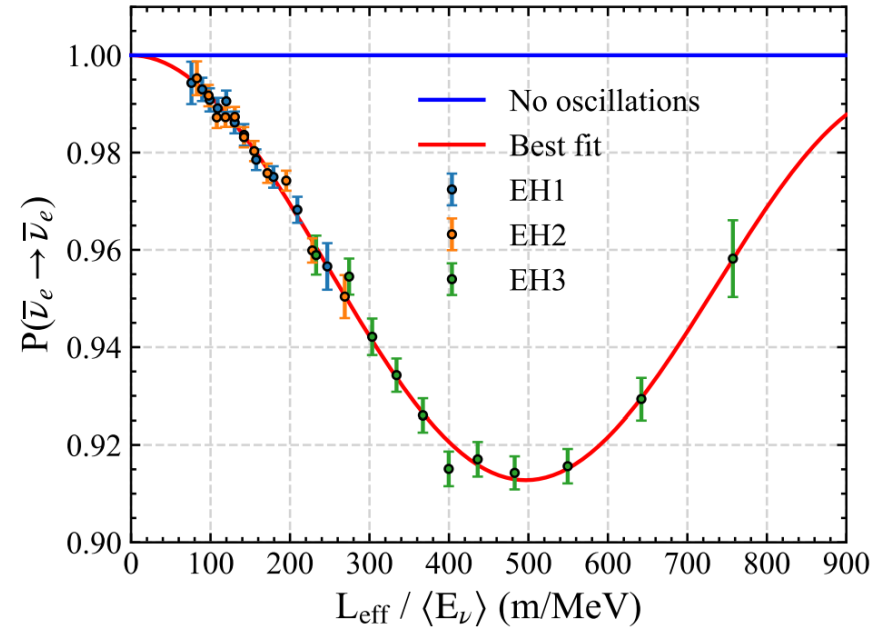
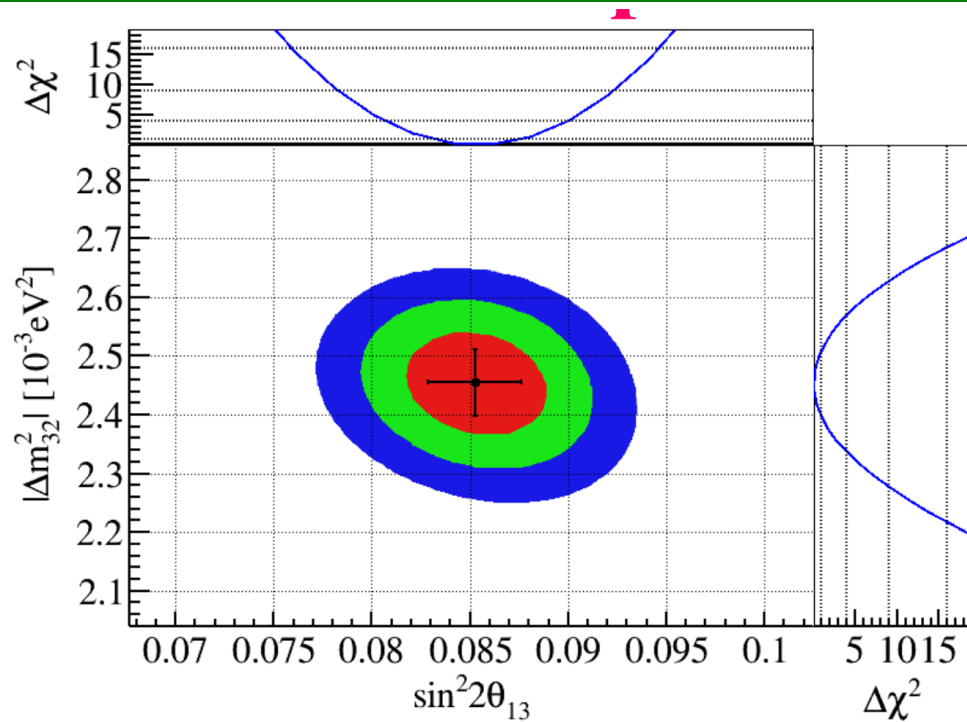
# Prompt Energy Spectra







# Rate+Spectra Oscillation Results



Best-fit results:  $\chi^2/\text{ndf} = 559/518$

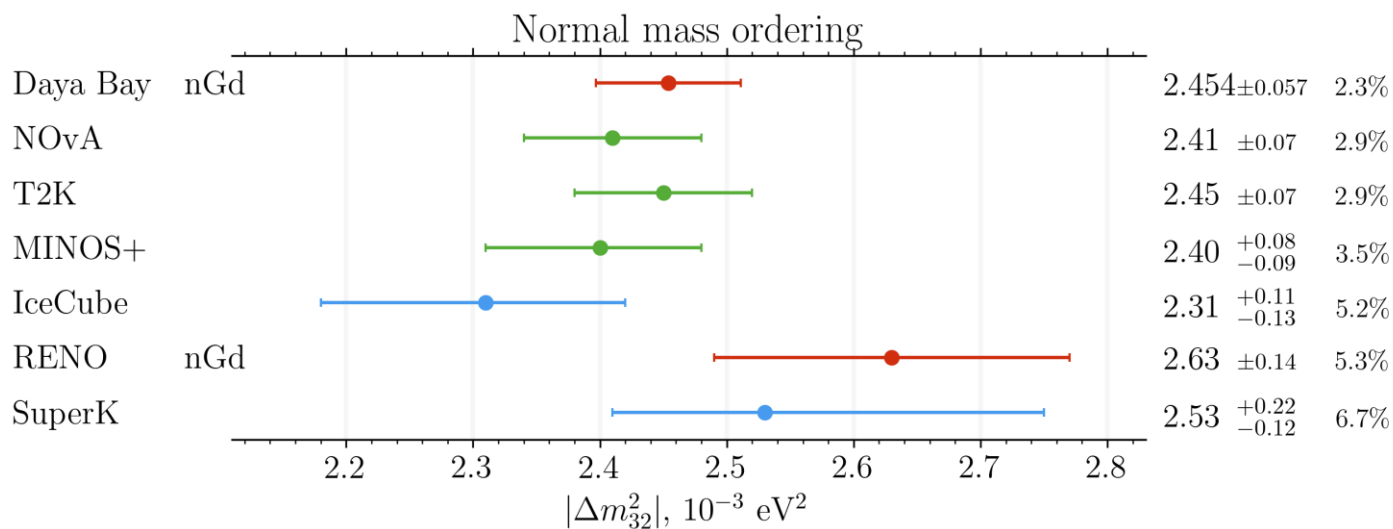
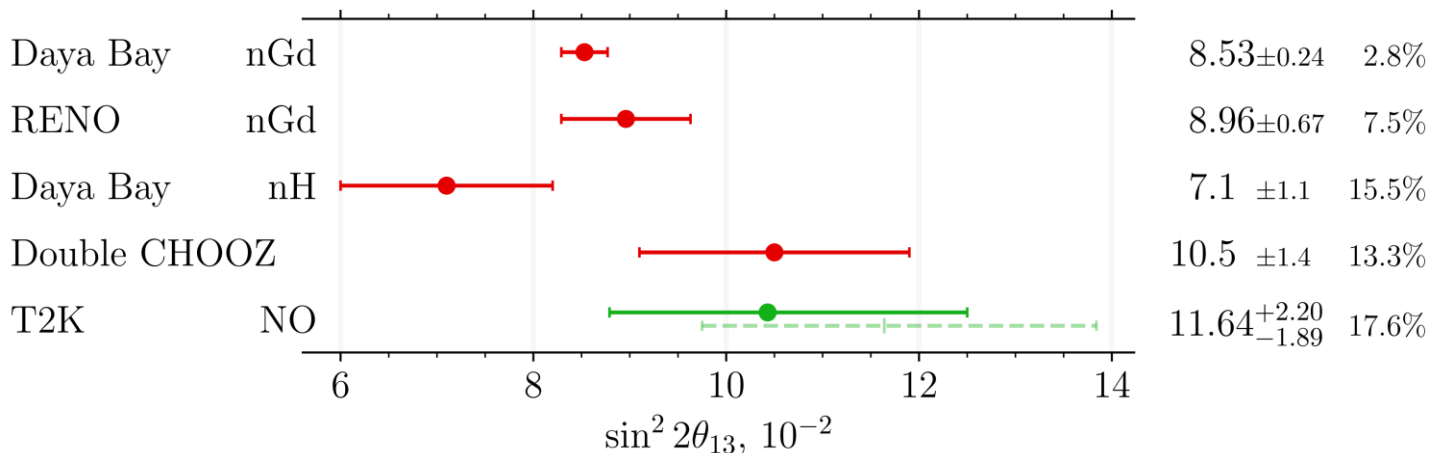
$$\sin^2 2\theta_{13} = 0.0853^{+0.0024}_{-0.0024} \quad (2.8\% \text{ precision})$$

Normal hierarchy:  $\Delta m_{32}^2 = + (2.454^{+0.057}_{-0.057}) \times 10^{-3} \text{eV}^2$  (2.3% precision)

Inverted hierarchy:  $\Delta m_{32}^2 = - (2.559^{+0.057}_{-0.057}) \times 10^{-3} \text{eV}^2$



# Precision Measurements

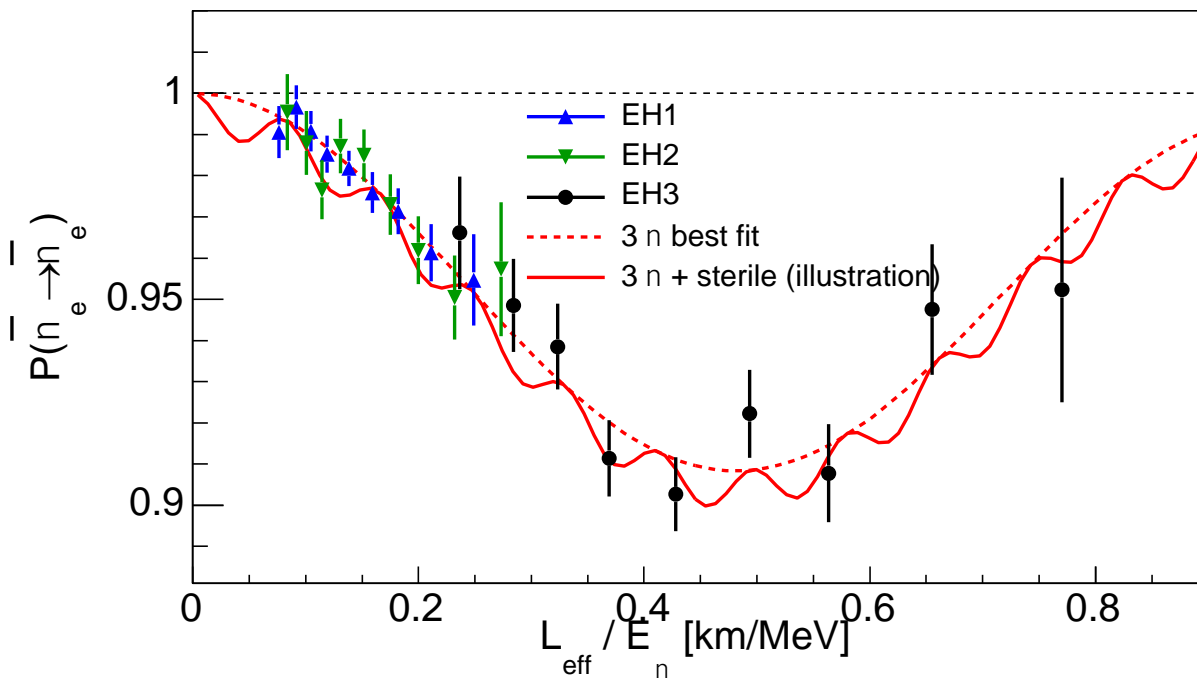
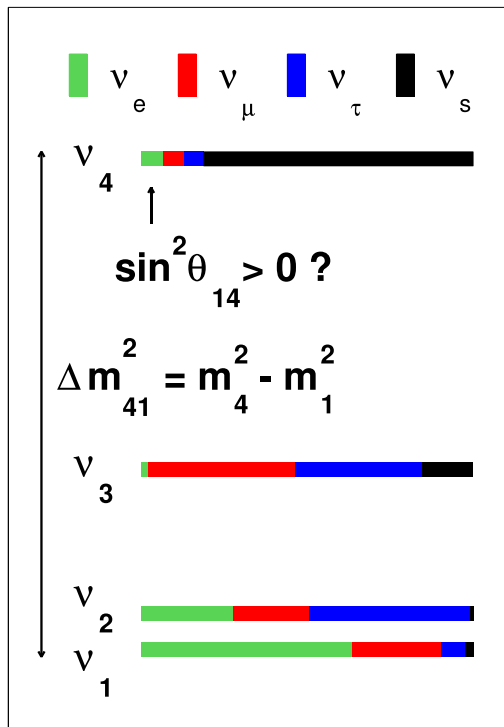


World most precise measurement of  $\sin^2 2\theta_{13}$  and  $|\Delta m_{32}^2|$





# light sterile neutrino oscillation



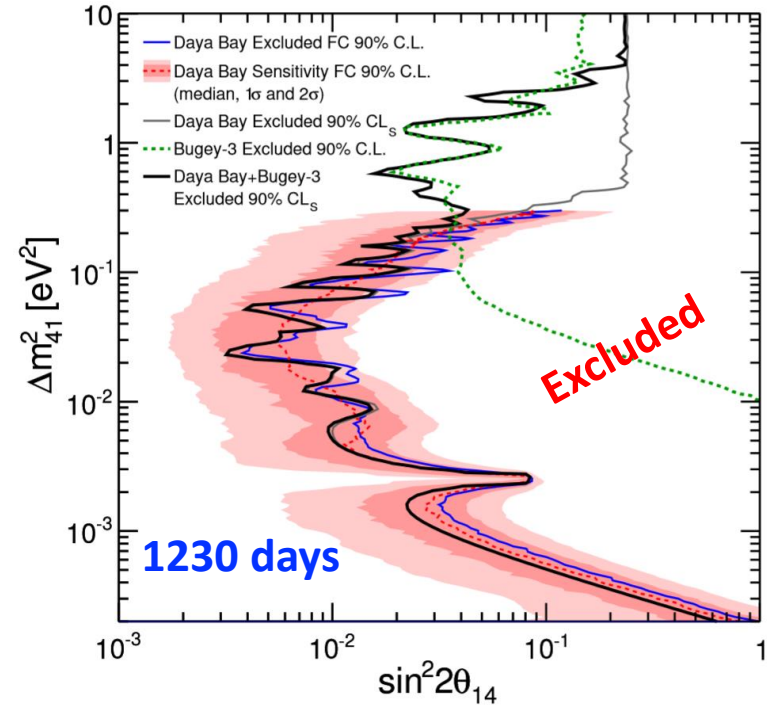
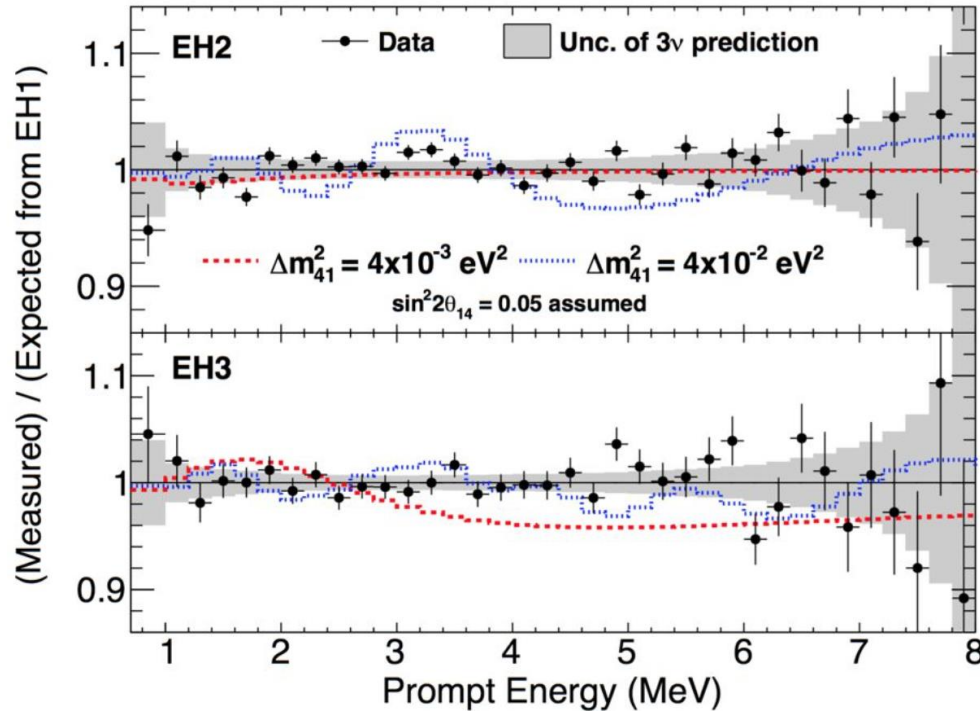
$$P(\bar{\nu}_e \rightarrow \bar{\nu}_e) @ 1 - \cos^4 q_{14} \sin^2 2q_{13} \sin^2 \left( \frac{Dm_{ee}^2 L}{4E_n} \right) - \sin^2 2q_{14} \sin^2 \left( \frac{Dm_{41}^2 E}{4E_n} \right)$$

- A minimum extension of the 3-ν model: 3(active) + 1(sterile)-ν model
- Search for a higher frequency oscillation pattern besides  $|\Delta m_{ee}^2|$



# Sterile Neutrino Search

PRL 125, 071801 (2020)



- Data is consistent with 3-ν model; No light sterile neutrino signal observed
- Consistent results from Feldman-Cousins and CLs methods

The most stringent upper limit for light sterile neutrinos ( $\Delta m^2 < 0.2 \text{ eV}^2$ )





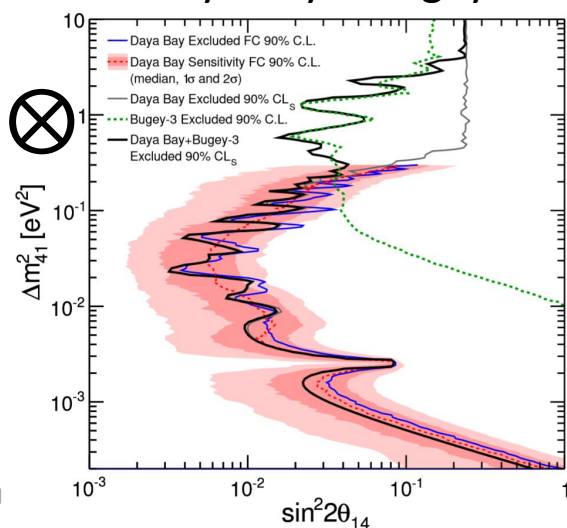
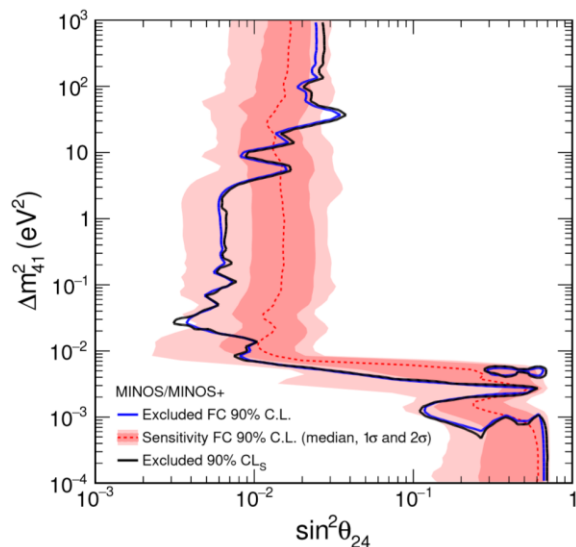
# Joint Sterile Neutrino Searches

PRL 122 091803 (2019)

PRL 125, 071801 (2020)

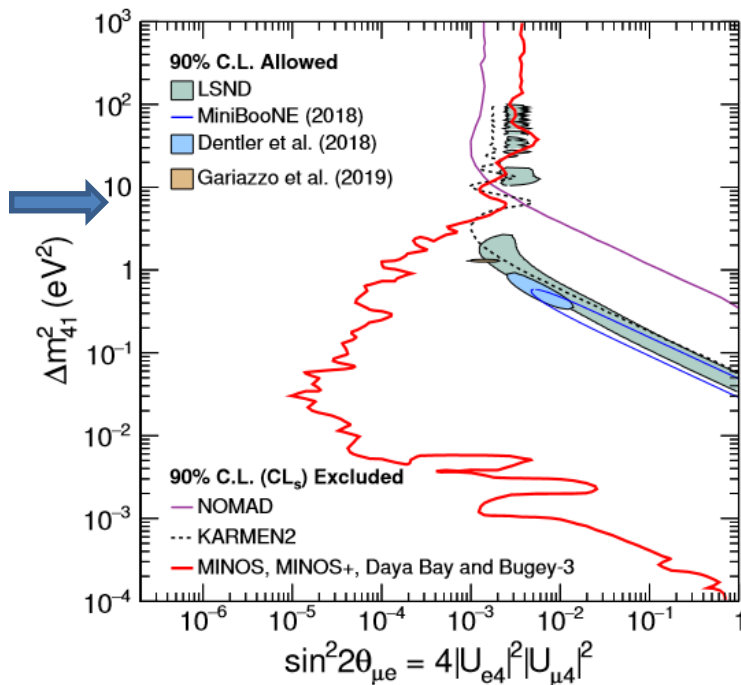
MINOS/MINOS+

Daya Bay + Bugey-3



$$|U_{\mu 4}|^2 = \sin^2 \theta_{24} \cos^2 \theta_{14}$$

$$|U_{e 4}|^2 = \sin^2 \theta_{14}$$



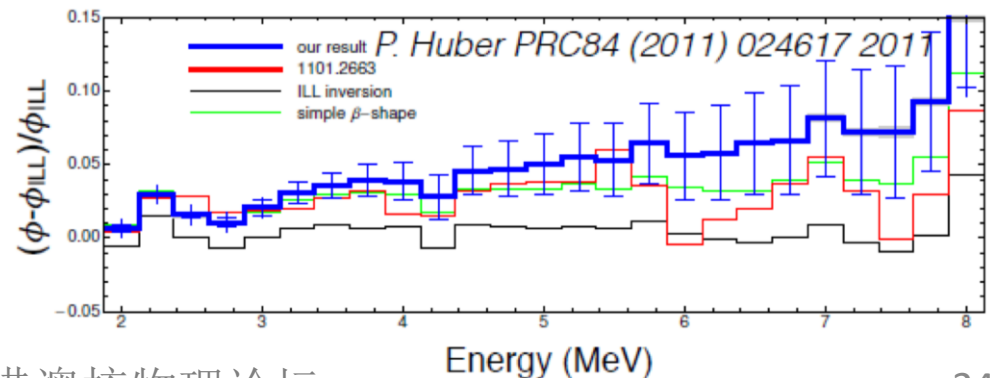
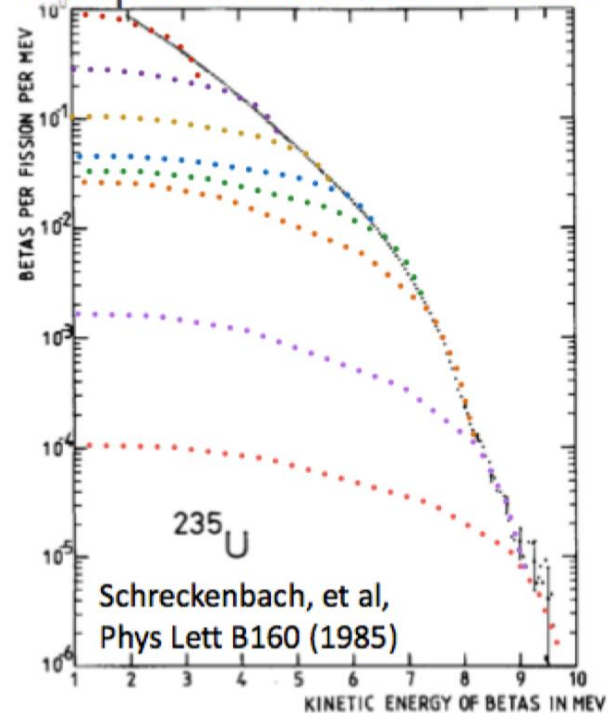
- The combined results can exclude the LSND and MiniBooNE signal region at  $\Delta m_{41}^2 < 5$  eV<sup>2</sup> at 90% C.L.



# Reactor $\bar{\nu}_e$ Flux Prediction

- Summation (ab initio) method
  - > 6000 decay branches
  - Missing data in the nuclear database
  - ~30% forbidden decays
  - ~ 10% uncertainty
- Conversion method
  - Convert ILL measured  $^{235}\text{U}$ ,  $^{239}\text{Pu}$  and  $^{241}\text{Pu}$   $\beta$  spectra to  $\bar{\nu}_e$  with >30 virtual  $\beta$ -decay branches
  - Old: ILL + Vogel ( $^{238}\text{U}$ ) model (1980s)
  - New: Huber + Mueller ( $^{238}\text{U}$ ) model (2011)
  - ~ 2.4% uncertainty

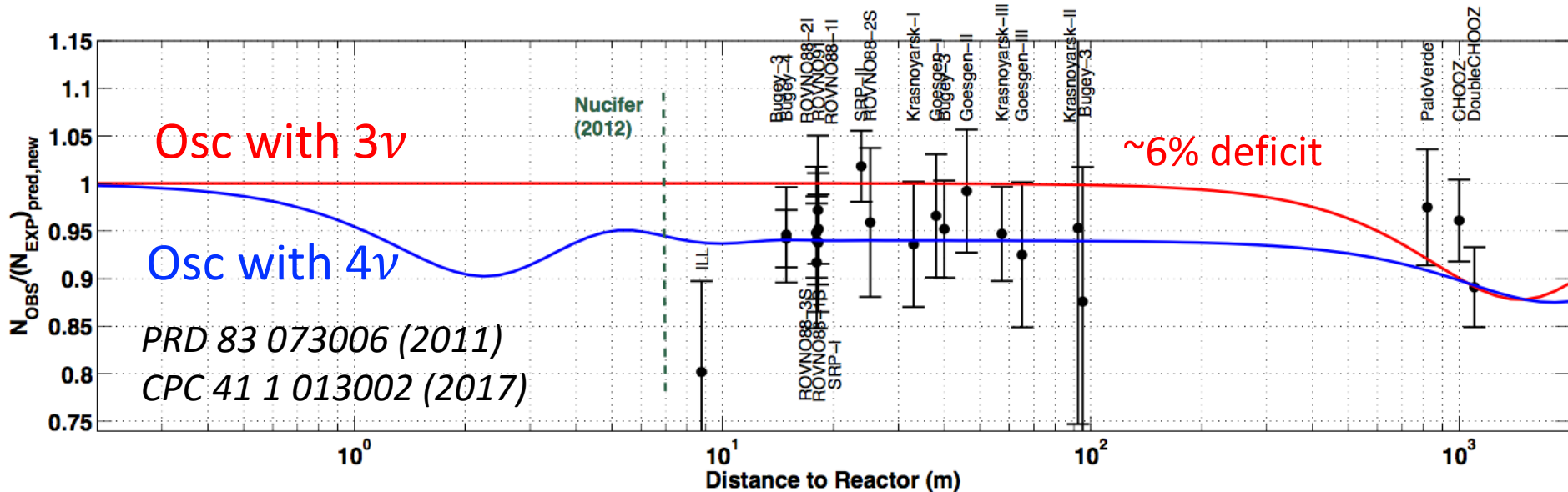
Example: Fit virtual beta branches





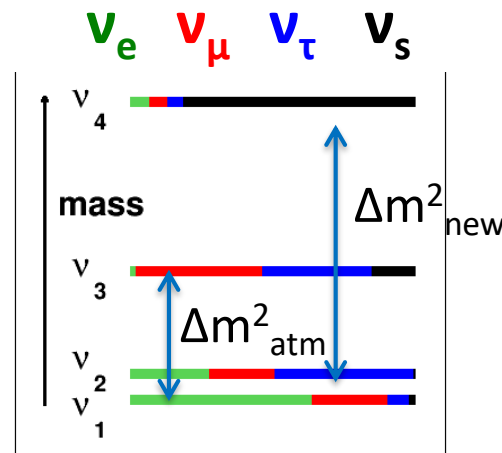


# Reactor Antineutrino Anomaly (RAA)



The measured  $\bar{\nu}_e$  flux at 10-100 m from reactor cores is  $\sim 6\%$  below the theoretical calculation

- Theoretical reactor  $\bar{\nu}_e$  flux modelling?
  - Systematic uncertainty underestimation (  $2\% \rightarrow 5\%$  )
- Sterile neutrinos ( $\bar{\nu}_e \rightarrow \bar{\nu}_s$ )?
  - High frequency oscillation ( $\Delta m^2_{\text{new}} \sim 1\text{-}10 \text{ eV}^2$ ) at baseline of few meters



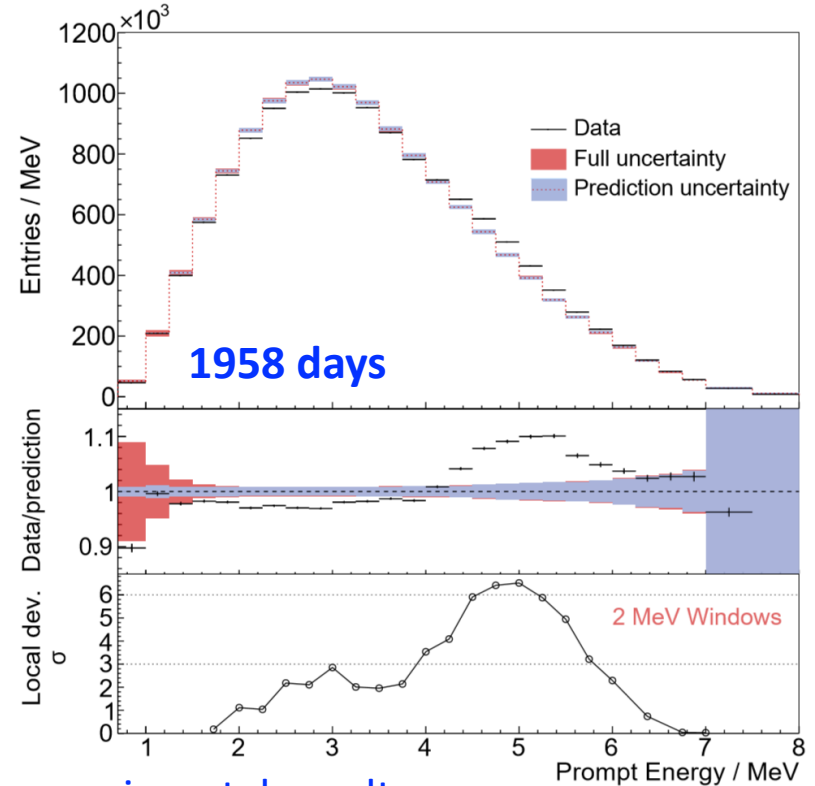
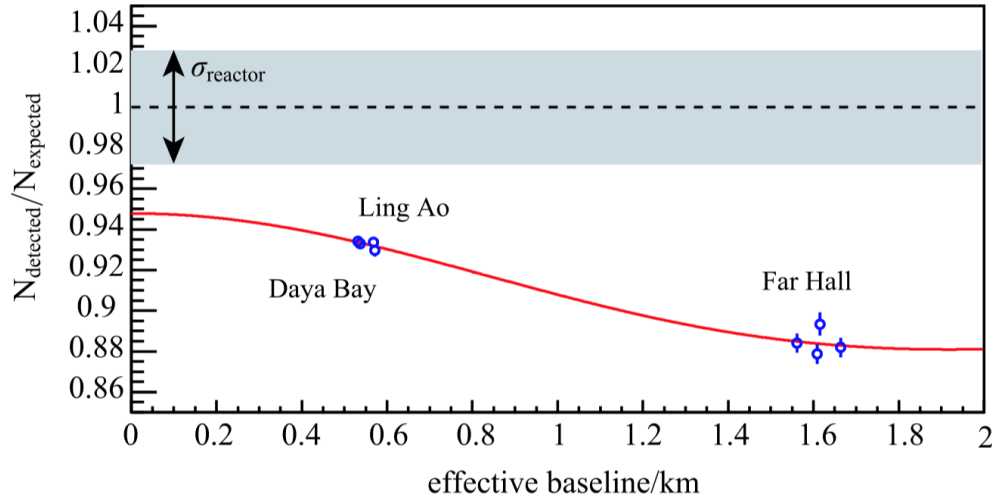
3 (active) + 1 (sterile) -  $\nu$  model



# Reactor $\bar{\nu}_e$ Flux and Spectrum

PRD **100** 052004 (2019)

PRL **123** 111801 (2019)



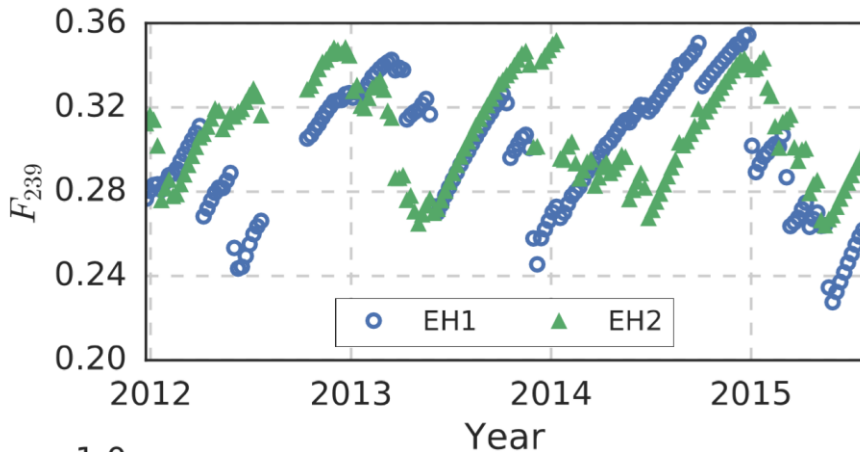
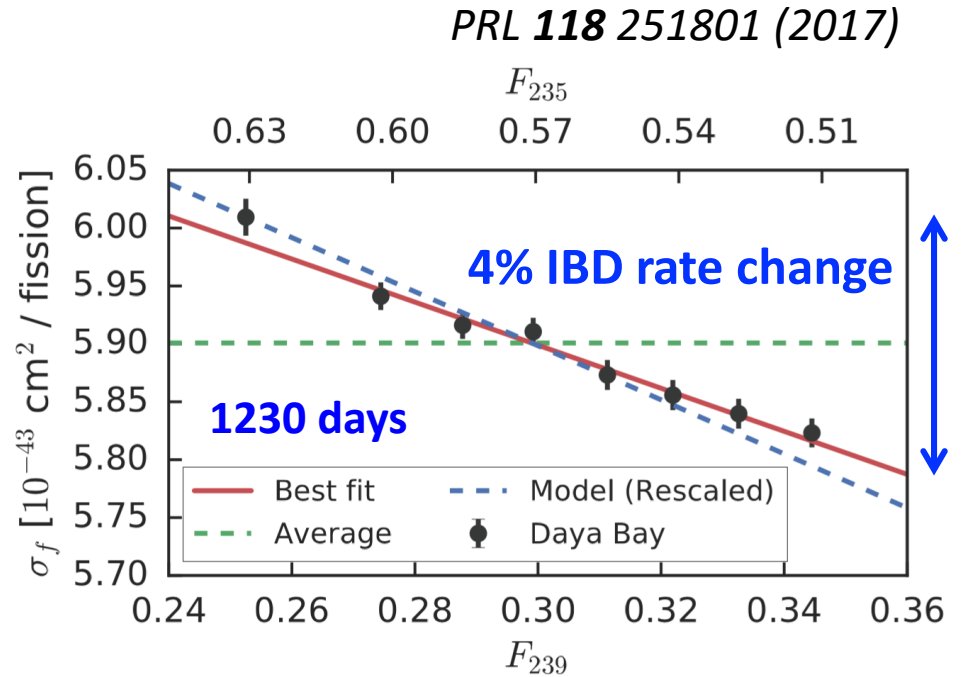
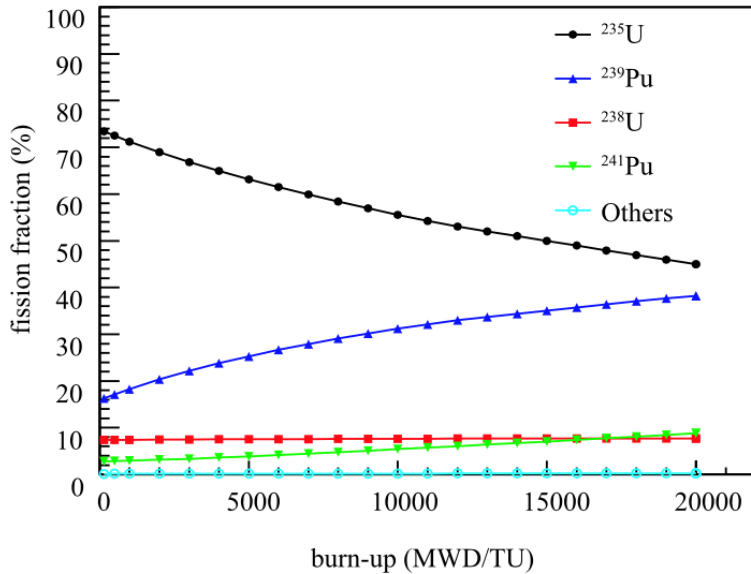
$$R = \frac{\text{data}}{\text{Model (Huber + Mueller)}}$$

$$= 0.952 \pm 0.014(\text{exp}) \pm 0.023(\text{model})$$

- Daya Bay result is consistent with the previous experimental results
- Data/prediction spectrum shows a total  $>5\sigma$  deviation, especially significant deviation at 4-6 MeV region of the prompt energy ( $>6\sigma$ )
- No effect on far/near relative measurement for  $\theta_{13}$  and  $\Delta m_{32}^2$



# Reactor Isotope Fuel Evolution



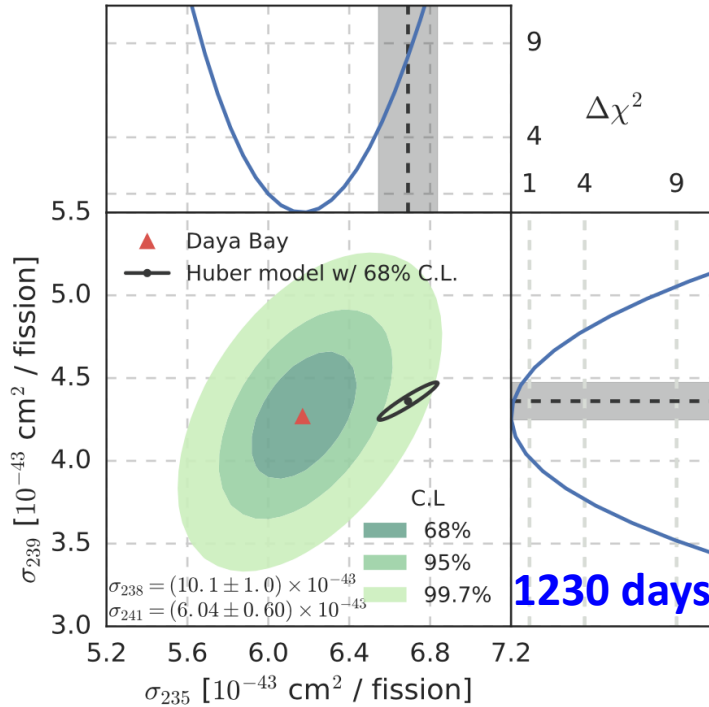
- Clear fuel-dependent evolution
- Evolution slope deviates from Huber + Mueller (H-M) model: disfavors sterile neutrino only (equal deficit) hypothesis at  $2.6\sigma$



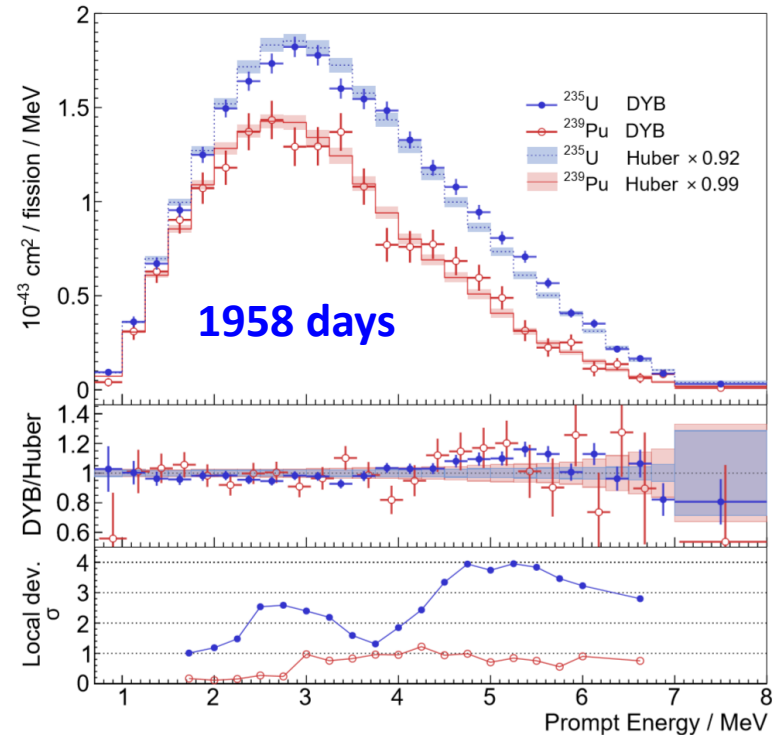


# Isotope Yields and Spectra Measurements from Fuel Evolution Study

PRL 118 251801 (2017)



PRL 123 111801 (2019)

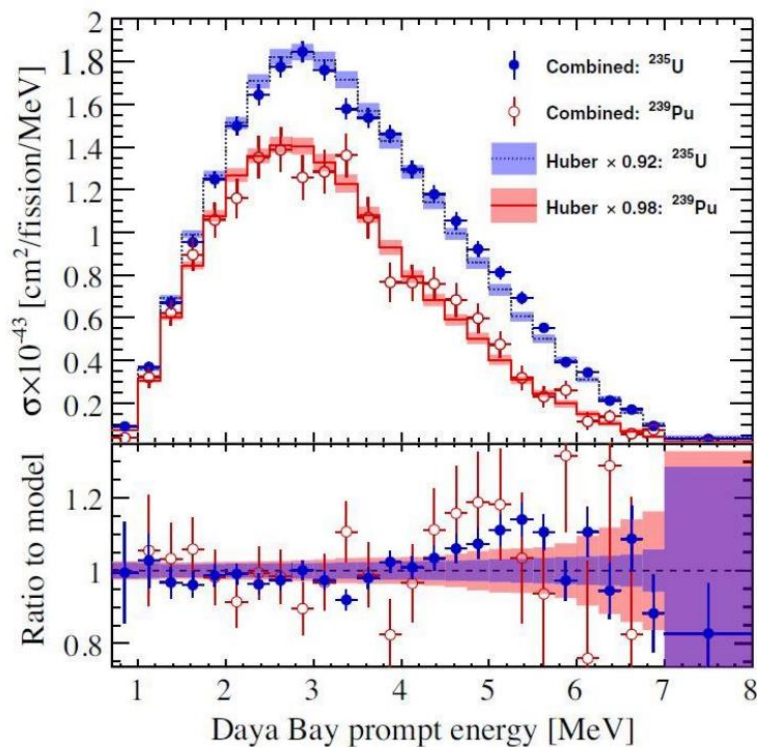


- Daya Bay data prefer  $^{235}\text{U}$  to be mainly responsible for the Reactor  $\bar{\nu}_e$  Anomaly
- First measurement of  $^{235}\text{U}$  and  $^{239}\text{Pu}$  spectra from a commercial reactor
- Consistent with bump structure at 4-6 MeV
- Local spectra deviation from prediction:  $^{235}\text{U}$  ( $4\sigma$ ) and  $^{239}\text{Pu}$  ( $1.2\sigma$ )



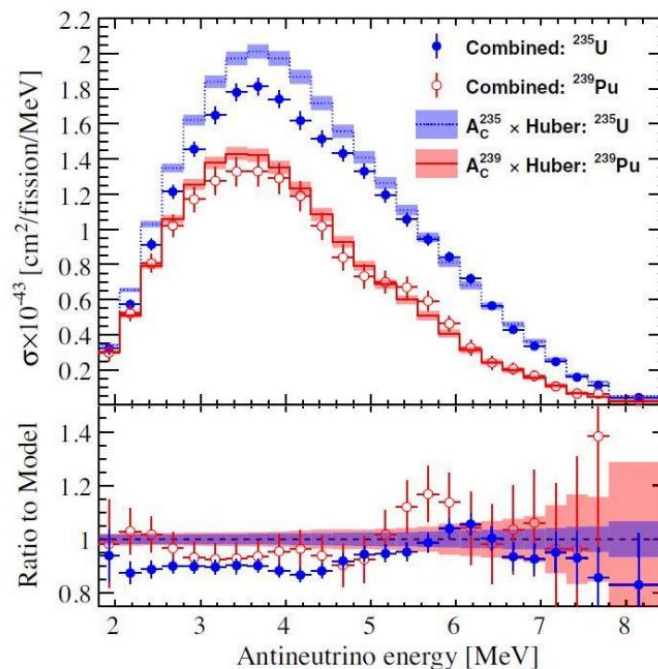
# Joint analysis of Daya Bay and PROSPECT

- Compared with Daya Bay-only result,  $^{235}\text{U}$  spectral shape err: 3.5%  $\rightarrow$  3%
- Reduce the degeneracy between  $^{235}\text{U}$  and  $^{239}\text{Pu}$  (by 20%).
- Central values change within 2% (consistent within uncertainties).



[Phys. Rev. Lett. 128, 081801 (2022)]

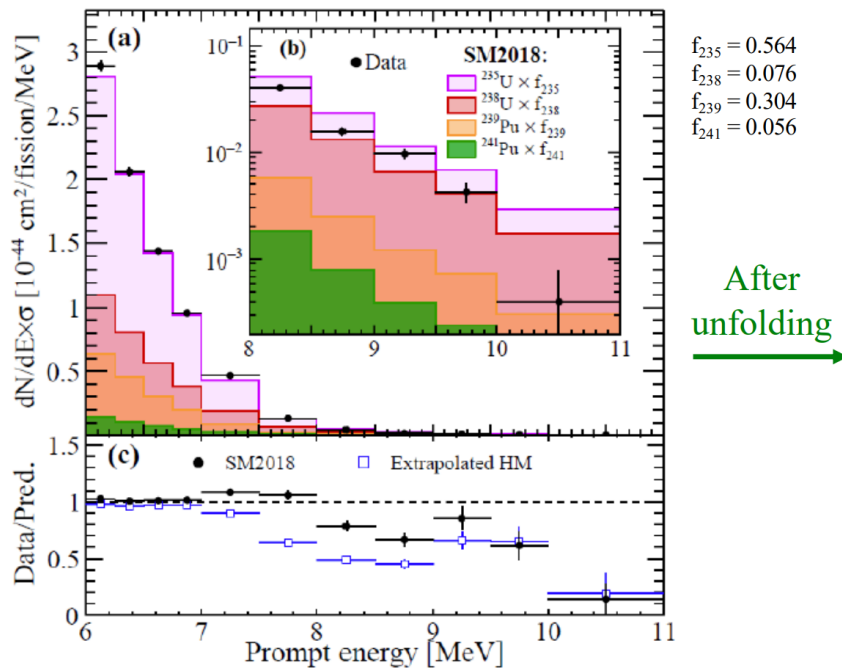
- Unfold the  $\bar{\nu}_e$  spectrum with Wiener-SVD method
- More precise prediction for other Exps



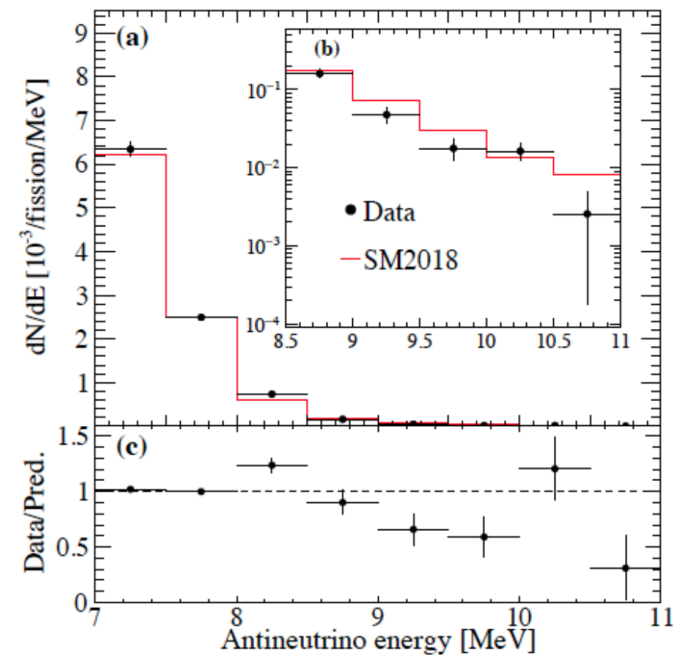


# First Evidence of reactor neutrinos with $E_\nu > 10$ MeV

- Can come from high-Q  $\beta$ -decay of short-lived isotopes, e.g.  $^{88,90}\text{Br}$ ,  $^{94,96,98}\text{Rb}$
- Use the 1958-day data set to extract IBD and background events together from a fit,
  - obtain 2500 IBD events with  $8 < E_{\text{prompt}} < 12$  MeV



arXiv: 2203.0668 [hep-ex]



- Updated Summation Model (SM2018):
  - 3% more for 6-8 MeV, 29% less for 8-11 MeV
- Extrapolated HM:
  - Larger disagreement above 7 MeV

- Hypothesis of no reactor  $\bar{\nu}_e$  with  $E_\nu > 10$  MeV is ruled out at 6.2 $\sigma$





# Summary

- Daya Bay has made the most precise measurements on  $\sin^2 2\theta_{13}$  and  $|\Delta m_{32}^2|$  with 2.8% and 2.3% precision
- Set the most stringent upper limit for light sterile neutrino with  $\Delta m_{41}^2 < 0.2 \text{ eV}^2$ 
  - A joint fit with MINOS/MINOS+ is able to exclude most of LSND/MiniBooNE signal region
- Reactor fuel evolution is observed
  - Reactor Antineutrino Anomaly is mainly caused by  $^{235}\text{U}$
  - First measurement of  $^{235}\text{U}$  and  $^{239}\text{Pu}$  spectra from commercial reactor
- First evidence of reactor neutrinos with energy above 10 MeV

谢谢!

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